# Route Planning with Real-Time Traffic Predictions

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Abstract. Situation dependent route planning gathers increasing interest as cities become crowded and jammed. We present a system for individual trip planning that incorporates future traffic hazards in routing. Future traffic conditions are computed by a Spatio-Temporal Random Field based on a stream of sensor readings. In addition, our approach estimates traffic flow in areas with low sensor coverage using a Gaussian Process Regression. The conditioning of spatial regression on intermediate predictions of a discrete probabilistic graphical model allows to incorporate historical data, streamed online data and a rich dependency structure at the same time. We demonstrate the system with a real-world use-case from Dublin city, Ireland.

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**Keywords:** trip planning, real-time traffic model, traffic flow estimation

# 1 Introduction

The incentive for the creation of smart cities is the increase of living quality and performance of the city. This is often accompanied with various mobile phone apps or web services to bring new services to the people of a city – advertising events, spreading city information or guiding people to their destinations by providing smart trip planning based on the city's spirit.

With the unpleasant trend of growing congestion in modern urban areas, smart route planing becomes an essential service in the smart city development. Existing trip planning systems consider current traffic hazards and historical speed profiles which are recorded by personal position traces and mobile phone network data [19].

The fast moving traffic situations in urban areas demand for a thorough routing that incorporates as fresh information about the city's infrastructure as

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possible. This current work, originally presented in [12], presents an approach to situation dependent trip planning that incorporates real time information gained from smart city sensors and combines this data with a model for estimating future traffic situations for route calculation. The proposed system provides three components: (1) an interactive web-based user interface that is based on the popular OpenTripPlanner project [16]. The web interface allows for users to specify start and target location and triggers the route planning and provides a REST-ful service (REpresentation State Transfer, introduced in [18]) interface to integrate such services into mobile applications. (2) A real-time backend engine, based on the streams framework [3], which provides data stream processing for various types of data. We provide input adapters for streams to read and process SCATS data [1] emitted from automatic traffic loops (city sensors). This allows us to maintain an up-to-date view of the city's current traffic state. (3) A sophisticated dynamic traffic model that is integrated into the backend stream engine and which provides traffic flow estimation at unobserved locations at future times.

The combination of these components is a trip planner that incorporates the latest traffic state information as well as using a fine-grained future traffic flow estimation for urban trip planning. We test our trip planner in a use case scenario in the city of Dublin. The city is amongst the most jammed cities in Europe The city holds about 966 SCATS sensors, each providing current traffic flow and vehicle speed at the sensor location.

The paper is structured as follows. In the second section we describe the general architecture of the presented system regarding the input and output of the trip planner, the data analysis and the stream processing connecting middleware. The third section deals with the application of our proposed trip planner to a use case in Dublin, Ireland. In the fourth section we provide a discussion of the work together with future directions. The fifth section presents related work.

# 2 General Architecture

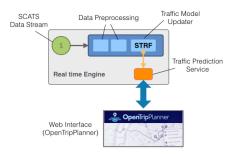
We give an overview of the system developed to address the veracity, velocity and sparsity problems of urban traffic management. The system has been developed as part of the INSIGHT project. This section describes the input and output of the system, the individual components that perform the data analysis, and the stream processing connecting middleware.

#### 2.1 System Components

As already noted in the introduction, we built the system aiming real time streaming capabilities. Based on the *streams* framework, the core engine is a data flow graph that models the data stream processing of the incoming SCATS data. This graph can easily be defined by means of the *streams* XML configuration language and features the integration of custom components directly into the

data flow graph. As can be seen in Figure 1, this data flow graph contains the SCATS data source as well as several nodes that represent preprocessing operations. A crucial component within that stream processing is our Spatio-Temporal Random Field (STRF) implementation<sup>1</sup>, which is used in combination with the sensor readings to provide a model for traffic flow prediction.

With the service layer API provided by streams, we export access to the traffic prediction model to the OpenTripPlanner component. The OpenTripPlanner provides the interface to let the user specify queries for route planning. Based on a given query (v, w) with a starting location v and a destination w, it computes the optimal route  $v \to p_0 \dots p_k \to w$  based on traffic costs. Here we plug in a cost-model for the routing that is based on the traffic flow estimation and the current city infrastructure status. This cost-model is queried by OpenTripPlanner using the service layer API.



**Fig. 1.** A general overview of the components of the predictive trip planning system. The real time engine continuously computes traffic condition forecasts and exports the prediction service to the OpenTripPlanner. Best viewed in color.

#### 2.2 Traffic Model

The key component of our system is the traffic model. It combines two machine learning methods in a novel way, in order to achieve traffic flow predictions for nearly arbitrary locations and points in time. This traffic model addresses multiple facets of the trip planning problem:

- sparsity of stationary sensor readings among the city,
- velocity of real-time traffic readings and computation, and
- veracity of future traffic flow predictions.

Based on a stream of observed sensor measurements, a Spatio-Temporal Random Field [17] estimates the future sensor values, whereas values for non-sensor

<sup>&</sup>lt;sup>1</sup> The C++ implementation of STRF and the JNI interface can be found at: http://sfb876.tu-dortmund.de/strf

locations are estimated using Gaussian Processes [14]. To the best of the authors knowledge, streamed STRF+GP prediction has not been considered until now and is therefore a novel method for traffic modelling.

Spatio-Temporal Random Field for Flow Prediction In order to model the temporal dynamics of the traffic flow as measured by the SCATS sensors (Figure 3), a Spatio-Temporal Random Field is constructed. The intuition behind STRF is based on sequential probabilistic graphical models, also known as linear chains, which are popular in the natural language processing community. There, consecutive words or corresponding word features are connected to a sequence of labels that reflects an underlying domain of interest like entities or part of speech tags. If a sensor network, represented by a spatial graph  $G_0 = (V_0, E_0)$ , is considered that generates measurements over space and time, it is appealing to identify the joint measurement of all sensors with a single word in a sentence and connect those structures to form a temporal chain  $G_1 - G_2 - \cdots - G_T$ . Each part  $G_t = (V_t, E_t)$  of the temporal chain replicates the given spatial graph  $G_0$ , which represents the underlying physical placement of sensors, i.e., the spatial structure of random variables that does not change over time. The parts are connected by a set of spatio-temporal edges  $E_{t-1;t} \subset V_{t-1} \times V_t$  for  $t = 2, \dots, T$  and  $E_{0;1} = \emptyset$ , that represent dependencies between adjacent snapshot graphs  $G_{t-1}$  and  $G_t$ , assuming a Markov property among snapshots, so that  $E_{t;t+h} = \emptyset$  whenever h > 1 for any t. The resulting spatio-temporal graph G, consists of the snapshot graphs  $G_t$  stacked in order for time frames t = 1, 2, ..., T and the temporal edges connecting them: G := (V, E) for  $V := \bigcup_{t=1}^{T} V_t$  and  $E := \bigcup_{t=1}^{T} \{E_t \cup E_{t-1;t}\}.$ 

Finally, G is used to induce a generative probabilistic graphical model that allows us to predict (an approximation to) each sensors maximum-a-posterior (MAP) state as well as the corresponding marginal probabilities. The full joint probability mass function is given by

$$p_{\boldsymbol{\theta}}(\boldsymbol{X} = \boldsymbol{x}) = \frac{1}{\Psi(\boldsymbol{\theta})} \prod_{v \in V} \psi_v(\boldsymbol{x}) \prod_{(v,w) \in E} \psi_{(v,w)}(\boldsymbol{x}).$$

Here, X represents the random state of all sensors at all T points in time and x is a particular assignment to X. It is assumed that each sensor emits a discrete value from a finite set  $\mathcal{X}$ . By construction, a single vertex v corresponds to a single SCATS sensor s at a fixed point in time t. The potential function of an STRF has a special form that obeys the smooth temporal dynamics inherent in spatio-temporal data.

$$\psi_v(\boldsymbol{x}) = \psi_{s(t)}(\boldsymbol{x}) = \exp\left\langle \sum_{i=1}^t \frac{1}{t-i+1} \boldsymbol{Z}_{s,i}, \phi_{s(t)}(\boldsymbol{x}) \right\rangle$$

The STRF is therefore parametrized by the vectors  $\mathbf{Z}_{s,i}$  that store one weight for each of the  $|\mathcal{X}|$  possible values for each sensor s and point in time  $1 \leq i \leq T$ . The function  $\phi_{s(t)}$  generates an indicator vector that contains exactly one 1 at the position of the state that is assigned to sensor s at time t in x and

zero otherwise. For a given data set, the parameters  $\boldsymbol{Z}$  are fitted by regularized maximum-likelihood estimation.

As soon as the parameters are learned from the data, predictions can be computed via MAP estimation,

$$\hat{\boldsymbol{x}} = \arg \max_{\boldsymbol{x}_{V \setminus U} \in \mathcal{X}} p_{\boldsymbol{\theta}}(\boldsymbol{x}_{V \setminus U} \mid \boldsymbol{x}_{U}), \tag{1}$$

where  $U \subset V$  is a set of spatio-temporal vertices with known values. The nodes in U are termed observed nodes. Notice that  $U = \emptyset$  is a perfectly valid choice that yields the most probable state for each node, given no observed nodes. To compute this quantity, the sum-product algorithm [10] is applied, often referred to as loopy belief propagation (LBP). Although LBP computes only approximate marginals and therefore MAP estimation by LBP may not be perfect [8], it suffices our purpose.

Gaussian Process Model for Flow Imputation Based on the discrete estimates of the STRF, we model the junction based traffic flow values within a Gaussian Process regression framework, similar to the approach in [14]. In the traffic graph each junction corresponds to one vertex. To each vertex  $v_i$  in the graph, we introduce a latent variable  $f_i$  which represents the true traffic flow at  $v_i$ . The observed traffic flow values are conditioned on the latent function values with Gaussian noise  $\epsilon_i$ :  $y_i = f_i + \epsilon_i$ ,  $\epsilon_i \sim \mathcal{N}(0, \sigma^2)$ .

We assume that the random vector of all latent function values follows a Gaussian Process (GP), and in turn, any finite set of function values  $\mathbf{f} = f_i : i = 1, \dots, M$  has a multivariate Gaussian distribution with mean and covariances computed with mean and covariance functions of the GP. The multivariate Gaussian prior distribution of the function values  $\mathbf{f}$  is written as  $P(\mathbf{f}|\mathbf{X}) = \mathcal{N}(0,K)$ , where K is the so-called kernel and denotes the  $M \times M$  covariance matrix, zero mean is assumed without loss of generality.

For traffic flow values at unmeasured locations u, the predictive distribution can be computed as follows. Based on the property of GP, the vector of observed traffic flows (v at locations -u) and unobserved traffic flows ( $f_u$ ) follows a Gaussian distribution

$$\begin{bmatrix} \mathbf{y} \\ \mathbf{f}_{u} \end{bmatrix} \sim \mathcal{N} \left( 0, \begin{bmatrix} \hat{K}_{-u,-u} + \sigma^{2} I & \hat{K}_{-u,u} \\ \hat{K}_{u,-u} & \hat{K}_{u,u} \end{bmatrix} \right), \tag{2}$$

where  $\hat{K}_{u,-u}$  are the corresponding entries of  $\hat{K}$  between the unobserved vertices u and observed ones -u.  $\hat{K}_{-u,-u}$ ,  $\hat{K}_{u,u}$ , and  $\hat{K}_{-u,u}$  are defined equivalently. I is an identity matrix of size |-u|.

Finally the conditional distribution of the unobserved traffic flows are still Gaussian with the mean m and the covariance matrix  $\Sigma$ :  $m = \hat{K}_{u,-u}(\hat{K}_{-u,-u} + \sigma^2 I)^{-1}$   $\mathbf{y}, \Sigma = \hat{K}_{u,u} - \hat{K}_{u,-u}(\hat{K}_{-u,-u} + \sigma^2 I)^{-1}$   $\hat{K}_{-u,u}$ .

Since the latent variables  $\mathbf{f}$  are linked together in a graph  $\mathcal{G}$ , it is obvious that the covariances are closely related to the network structure: the variables

are highly correlated if they are adjacent in  $\mathcal{G}$ , and vice versa. Therefore we can employ graph kernels [23] to denote the covariance functions  $k(x_i, x_j)$  among the locations  $x_i$  and  $x_j$ , and thus the covariance matrix.

The work in [14, 13] describes methods to incorporate knowledge on preferred routes in the kernel matrix. Lacking this information, we decide for the commonly used regularized Laplacian kernel function  $K = \left[\beta(L+I/\alpha^2)\right]^{-1}$ , where  $\alpha$  and  $\beta$  are hyperparameters. L denotes the combinatorial Laplacian, which is computed as L = D - A, where A denotes the adjacency matrix of the graph  $\mathcal{G}$ . D is a diagonal matrix with entries  $d_{i,i} = \sum_j A_{i,j}$ .

# 2.3 OpenTripPlanner

OpenTripPlanner (OTP) is an open source initiative for route calculation. The traffic network for route calculation is generated using data from OpenStreetMap and (eventually) public transport schedules. Thus, OpenTripPlanner allows route calculation for multiple modes of transportation including walking, bicycling, transit or its combinations. However, vehicular routing is possible, but for data quality reasons in OpenStreetMap concerning the turning restrictions [20] it is not advisable. The default routing algorithm in OTP is the A\* algorithm which utilizes a cost-heuristic to prune the Dijkstra search.

OpenTripPlanner consists of two components an API and a web application which interfaces the API using RESTful services. The API loads the traffic network graph, and calculates the routes. The web application provides an interactive browser based user interface with a map view. A user of the trip planner can form a trip request by selecting a start and a target location on the map.

#### 2.4 The streams Framework

The need for real time capabilities in today's data processing and the steady decrease of latency from data acquisition to knowledge extraction or information use from that data led to a growing demand for general purpose stream processing environments. Several such frameworks have evolved – Storm, Kafka or Yahoo!'s S4 engine are among the most popular open-source approaches to streaming data. They all feature slightly different APIs and come with slightly different philosophies. Focusing on a more middle-layer approach is the streams framework proposed in [3], which aims at providing a light-weight high-level abstraction for defining data flow networks in an easy-to-use XML configuration. It comes with its own execution engine, but also features the transparent execution of data flow graphs on existing engines such as Storm. We base our decision for the streams framework on its recent applications that highlight its high throughput capabilities [5] and the built-in data mining operators [2].

**SCATS Data Processing with streams** Within the *streams* framework, a data source is represented as a sequences of data items, which in turn are sets of key-value pairs, i.e. event attributes and their values. Processes within a *streams* 

data flow graph consume data items from streams and apply functions onto the data. The data flow graph for manipulation, analysis and filtering of the streams is formulated in an XML-based language that *streams* provides. A sample XML configuration is given in Figure 2.

**Fig. 2.** XML representation of a streams container with a source for SCATS data and a process that applies a normalization to each data item and then forwards it to a traffic estimation processor.

The process setup of Figure 2 defines a single data source that provides a stream of SCATS sensor data. A process is attached to this source and continuously reads items from that source. For each of the data item, it applies a sequence of custom functions (so called processors) that reflect data transformations or other actions on the items. In the example above, we include a SCATS specific DataNormalization step as well as our custom TrafficEstimator implementation directly into the data flow graph.

**Service Level API** The *streams* runtime provides a simple RMI-based service invocation of data flow components that do provide remote services. The *Traf-ficEstimator* defines such a remote interface and is automatically registered as a service with identifier "*predictor*". This allows service methods of that estimator to be asynchronously called from outside the data flow graph, i.e. from within our modified *OpenTripPlanner* component.

The service method that is defined by the TrafficEstimator is exactly the cost-retrieval function that is required within the  $A^*$  algorithm of the OpenTrip-Planner:

where x and y are the longitude and latitude of the location and t is the time at which the traffic flow for (x, y) shall be predicted.

# 3 Empirical Evaluation

In this section we present the application of our proposed trip planner to a use case in Dublin, Ireland. We used real data streams obtained from the SCATS sensors of Dublin city. The stream was collected between January and April

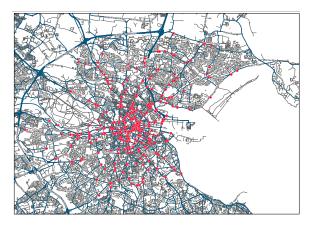


Fig. 3. Locations of SCATS sensors (marked by red dots) within Dublin, Ireland. Best viewed in color.

2013 and comprises  $\approx$  9GB of data. The SCATS dataset includes 966 sensors, see Figure 3 for their spatial distribution among the traffic network. SCATS sensors transmit information on traffic flow every six minutes. The data set is publicly available<sup>2</sup>.

For the experiments in Dublin, the traffic network is generated based on the OpenStreetMap<sup>3</sup> data. In the preprocessing step the network is restricted to a bounding window of the city size. Next, every street is split at any junction in order to retrieve street segments. In result we obtain a graph that represents the traffic network. The SCATS locations are mapped to their nearest neighbours within this street network.

In the preprocessing step the sensor readings are aggregated within fixed time intervals. We tested various intervals and decided for 30 minutes, as lower aggregates are too noisy, caused by traffic lights and sensor fidelity.

The spatial graph  $G_0$  that is required for the STRF is constructed as k-nearest-neighbor  $(k{\rm NN})$  graph of the SCATS sensor locations. In what follows, a 7NN graph is used, since a smaller k induces graphs with large disconnected components and a larger k leads to more complex models without improving the performance of the method. The fact that no information about the actual street network is used to build  $G_0$  might seem counterintuitive, but undirected graphical models like STRF do not use or rely on any notion of flow. They rather make use of conditional independence, i.e. the state of any node v can be computed if the states of its neighboring nodes are known. Thus, the  $k{\rm NN}$  graph can capture long-distance dependencies that are not represented in the actual street network connectivity. The maximum traffic flow value that is measured by each SCATS sensor in each 30-minutes-window is discretized into one of 6 consecutive intervals. A separate STRF model for each day of the week is

<sup>&</sup>lt;sup>2</sup> Dublin SCATS data: http://www.dublinked.ie

<sup>&</sup>lt;sup>3</sup> OpenStreetMap: http://www.openstreetmap.org

constructed and each day is further partitioned into 48 snapshot graphs, since we can divide a day into 48 blocks of 30 minutes length. The model parameters are estimated on SCATS data between January 1 and March 31 2013 and evaluated using data from April 2013.

The evaluation data is streamed as observed nodes into the STRF which computes a new conditioned MAP prediction (Equation 1) for all unobserved vertices of the spatio-temporal graph G whenever time proceeds to the next temporal snapshot. The discrete predictions are then de-discretized by taking the mean of the bounds of the corresponding intervals and subsequently forwarded to the Gaussian Process which uses these predictions to predict values at non-sensor locations. Notice that although the discretization with subsequent de-discretization seems inconvenient at a first glance, it allows the STRF to model any non-linear temporal dynamics of the sensor measurements, i.e. the flow at a fixed sensor might change instantly if the sensor is located close to a factory at shift changeover.



Fig. 4. Results of route calculations for fixed start and target at different timestamps (from left to right: 7:00, 8:00, 8:30). Best viewed in color.

Application of Gaussian Processes requires a joint multivariate Gaussian distribution among the considered random variables. In our case, these random variables denote the traffic flow per junction. Literature on traffic flow theory [11, 4] tested traffic flow distributions and supports a hypothesis for a joint lognormal distribution. We test our dataset for this hypothesis. Thus, we apply the Mardia [15] normality test to the preprocessed data set. The test checks multivariate skewness and kurtosis. We apply the implementation of the Mardia test contained in the R package MVN [9]. The tests confirmed the hypothesis that the recorded traffic flow (obtained from the SCATS system) is lognormal distributed. Thus, application of Gaussian Processes to log-transformed traffic flow values is possible. The hyper-parameters for the GP are chosen in advance using a grid search. Best performance was achieved with  $\alpha = 1/2$  and  $\beta = 1/2$ . The STRF provides complete knowledge on future sensor readings which is necessary for our GP. As the STRF model performs well [17], we set the noise among the sensor data in our GP to a small variance of 0.0001. For easy tractability, we set up the GP to model about 5000 locations among the city of Dublin.

The OpenTripPlanner creates a query for the costs at a particular coordinate in space-time. The query is transmitted from the route calculation to the traffic model. There, the query is matched to the discrete space. The spatial coordinates

are encoded in the WGS84 reference system. To avoid precision problems during the matching between the components, the spatial coordinate is matched with a nearest neighbour method using a KDTree data structure The nearest neighbor matching offers also the possibility to query costs for arbitrary locations. The timestamp of the query is discretized to one of the 48 bins we applied in the STRF.

We apply our trip planner for a particular Monday in data set (8th April 2013) and compute routes from a fixed start to a fixed target at different time stamps. Figure 4 shows that different routes are calculated depending on the traffic situation. Congested street segments are avoided and different routes are suggested.

## 4 Related Work

Previous sections already discussed related approaches. Here, we present briefly recent work on dynamic cost estimation for trip planning in smart cities. Recent work [24] predicts the travel time of routes. As their work evaluates a particular predefined route based on recorded GPS traces, it has a related but different scope. In contrast to [25], our approach combines the STRF with a GP for estimation of costs at unobserved locations. The approach in [6] addresses travel time forecasts based on the delays in the public transportation system. Main drawback of their method is that buses have extra lanes at most junctions and their movement follows a regular pattern. The inclusion of traffic loop readings was motivated in their section on future work. The dynamic traffic flow estimation is a major problem in traffic theory. Common approach is the usage of a k-Nearest Neighbour algorithm which calculates traffic flow estimates as weighted average of the k nearest observations [7]. In contrast, our approach models future traffic flow values based on their temporal patterns, correlations and dependencies. Foremost, our model requires less memory as k-NN which has to store all previously seen sensor values for continuous traffic flow estimation. Another paper that compares two prediction models for traffic flow estimation is presented in [21]. By combining a Gauss Markov Model with a Gaussian Process, their work provides a faster model which is suitable for near time predictions (as required for automatic signal control). The model estimates future values by consecutive application of the model. In contrast, the hereby presented work estimates all future time slices at once. In result, we built valuable trip planner application on top of the traffic estimation model and highlighted its usability. Improvement of the estimation method, and comparison of estimation accuracy is subject for future work.

### 5 Discussion and Future Work

Within this paper we presented a novel approach for trip planning in highly congested urban areas. Our approach computes intelligent routes that avoid traffic hazards in advance. The proposed trip planner consists of a continuous traffic

model based on real-time sensor readings and a web based user interface. We combined the real-time traffic model and the trip calculation with a streaming backbone. We applied the trip planner to a real-world use case in the city of Dublin, Ireland.

Our traffic model combines latest advances in traffic flow estimation. On the one hand, prediction of future sensor values is performed with a spatio-temporal random field, which is trained in advance. Based on these estimates, the traffic flow for unobserved locations is performed by a Gaussian Process Regression. We successfully applied the Regularized Laplacian Kernel. In literature, also other kernels have been successfully applied to the problem, [13, 22]. Exploration of different kernel methods is subject for future research.

Besides the SCATS data also other data sources provide useful information for dynamic cost estimation. The integration of bus travel times or user generated (crowdsourcing and social network) data in our model is possible by dynamically changing the traffic network (in case of road blockages) or introducing dynamic weights (in case of a accident or flooding on a street segment). Future studies need to explore these directions.

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