

Role Forgetting for $\mathcal{ALCOQH}(\nabla)$ -Ontologies Using an Ackermann-Based Approach

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Abstract. Forgetting refers to a non-standard reasoning problem concerned with eliminating concept and role symbols from description logic-based ontologies while preserving all logical consequences up to the remaining symbols. Whereas previous research has primarily focused on forgetting concept symbols, in this paper, we turn our attention to role symbol forgetting. In particular, we present a practical method for semantic role forgetting for ontologies expressible in the description logic $\mathcal{ALCOQH}(\nabla)$, i.e., the basic description logic \mathcal{ALC} extended with nominals, number restrictions, role inclusions and the universal role. Being based on an Ackermann approach, the method is so far the only approach for forgetting role symbols in description logics with number restrictions. The method is goal-oriented and incremental. It always terminates and is sound in the sense that the forgetting solution is equivalent to the original ontology up to the forgotten symbols, possibly with new concept definer symbols. Despite our method not being complete, performance results of an evaluation with a prototypical implementation have shown very good success rates on real-world ontologies.

1 Introduction

The origins of interest in forgetting can be traced back to the work of Boole on propositional variable elimination and the seminal work of Ackermann [1] who recognized that the problem amounts to the elimination of existential second-order quantifiers. In logic the problem has been studied as the (dual) uniform interpolation problem [29, 5, 10], a notion related to the Craig interpolation problem, but stronger. In computer science the importance of forgetting can be found in the knowledge representation literature [21, 20, 6], specification refinement literature [2] and the area of description logic-based ontology engineering [31, 32, 30, 11, 13, 12, 24, 23, 9, 22, 25, 3]. In ontology-based information processing, forgetting allows users to focus on specific parts of ontologies in order to create decompositions and restricted views for in depth analysis or sharing with other users. Forgetting is also useful for information hiding, explanation generation, and ontology debugging and repair.

Because forgetting is an inherently difficult problem — it is much harder than standard reasoning (satisfiability testing) — and very few logics are known to be complete for forgetting (or have the uniform interpolation property),¹ there has been insufficient research on the topic (in particular on the topic of role forgetting), and few forgetting

¹ Konev et al. [12] have shown that the solution of forgetting does not always exist for \mathcal{ALC} and \mathcal{EL} , and the existence of forgetting a concept (role) symbol is undecidable for \mathcal{ALC} .

tools are available. Recent work has developed practical methods for computing uniform interpolants for ontologies defined in expressive OWL language dialects [15, 16, 18]. These methods, which are saturation approaches based on resolution, can eliminate both concept and role symbols and can handle ontologies specified in description logics from \mathcal{ALC} to \mathcal{ALCH} and \mathcal{SIF} . The methods have been extended to \mathcal{SHQ} for concept forgetting in [17]. While most of this work is focused on TBox and RBox uniform interpolation, practical methods for uniform interpolation for description logics \mathcal{ALC} and \mathcal{SHI} with ABoxes are described in [19, 14].

An alternative approach that performs both concept and role forgetting is described, automated and evaluated in [34]. This approach is a semantic approach which accommodates ontologies expressible in description logics with nominals, role inverse, role inclusions, role conjunction and the universal role. The foundation for this approach is an adaptation of a monotonicity property called Ackermann’s Lemma [1], which also provides the foundation for approaches to second-order quantifier elimination [7, 26, 8] and modal correspondence theory [28, 4, 27].

In this paper, we follow an Ackermann-based approach to forgetting, and present a practical method for semantic role forgetting in expressive description logics not considered so far. In particular, the method accommodates ontologies expressible in the description logic \mathcal{ALCOQH} and the extension with the universal role ∇ . The extended expressivity enriches the target language, making it expressive enough to represent the forgetting solution which otherwise would have been lost. For example, the solution of forgetting the role symbol $\{r\}$ from the ontology $\{A_1 \sqsubseteq \geq 2r.B_1, A_2 \sqsubseteq \leq 1r.B_2\}$ is $\{A_1 \sqsubseteq \geq 2\nabla.B_1, A_1 \sqcap A_2 \sqsubseteq \geq 1\nabla.(B_1 \sqcap \neg B_2)\}$, whereas in a description logic without the universal role, the uniform interpolant is $\{\top\}$, which is weaker. Being based on non-trivial generalizations of Ackermann’s Lemma, the method is the only approach so far for forgetting role symbols in description logics with qualified number restrictions. The method is goal-oriented and incremental. It always terminates and is sound in the sense that the forgetting solution is equivalent to the original ontology up to the symbols that have been forgotten, possibly with new concept definer symbols. Our method is nearly role forgetting complete for $\mathcal{ALCOQH}(\nabla)$ -ontologies, and we characterize cases where the method is complete. Only problematic are cases where forgetting a role symbol would require the combinations of certain cardinality constraints and role inclusions. Despite the inherent difficulty of forgetting for this level of expressivity, performance results of an evaluation with a prototypical implementation have shown very good success rates on real-world ontologies.

2 $\mathcal{ALCOQH}(\nabla)$ and Other Basic Notions

Let N_C , N_R and N_O be countably infinite and pairwise disjoint sets of *concept symbols*, *role symbols* and *individual symbols (nominals)*, respectively. *Roles* in $\mathcal{ALCOQH}(\nabla)$ can be any role symbol $r \in N_R$ or the universal role ∇ . *Concepts* in $\mathcal{ALCOQH}(\nabla)$ have one of the following forms: $a \mid \top \mid A \mid \neg C \mid C \sqcap D \mid C \sqcup D \mid \geq mR.C \mid \leq nR.C$, where $a \in N_O$, $A \in N_C$, C and D are any concepts, R is any role, and $m \geq 1$ and $n \geq 0$ are natural numbers. Additional concepts and roles are defined as abbreviations: $\perp = \neg\top$, $\Delta = \neg\nabla$, $\exists R.C = \geq 1R.C$, $\forall R.C = \leq 0R.\neg C$, $\neg \geq mR.C = \leq nR.C$ and

$\neg \leq nR.C = \geq mR.C$ with $n = m - 1$. Concepts of the form $\geq mR.C$ and $\leq nR.C$ are referred to as *qualified number restrictions* (or *number restrictions* for short), which allow one to specify cardinality constraints on roles. We assume w.l.o.g. that concepts and roles are equivalent relative to associativity and commutativity of \sqcap and \sqcup , \top and \perp are units w.r.t. \sqcap , and \neg is an involution.

An $\mathcal{ALCCOQH}(\nabla)$ -ontology is mostly assumed to be composed of a TBox, an RBox and an ABox. A TBox \mathcal{T} is a finite set of *concept axioms* of the form $C \sqsubseteq D$ (*concept inclusion*), where C and D are concepts. An RBox \mathcal{R} is a finite set of *role axioms* of the form $r \sqsubseteq s$ (*role inclusion*), where $r, s \in \mathbf{N}_R$. We define $C \equiv D$ and $r \equiv s$ as abbreviations for the pair of $C \sqsubseteq D$ and $D \sqsubseteq C$ and the pair of $r \sqsubseteq s$ and $s \sqsubseteq r$, respectively. An ABox \mathcal{A} is a finite set of *concept assertions* of the form $C(a)$ and *role assertions* of the form $R(a, b)$, where $a, b \in \mathbf{N}_O$, C is a concept, and R is a role. In a description logic with nominals, ABox assertions can be equivalently expressed as TBox axioms, namely, $C(a)$ as $a \sqsubseteq C$ and $R(a, b)$ as $a \sqsubseteq \exists R.b$. Hence, in this paper, we assume w.l.o.g. that an ontology contains only TBox and RBox axioms.

The semantics of $\mathcal{ALCCOQH}(\nabla)$ is defined as usual. A concept axiom $C \sqsubseteq D$ is *true* in an interpretation \mathcal{I} , and we write $\mathcal{I} \models C \sqsubseteq D$, iff $C^{\mathcal{I}} \subseteq D^{\mathcal{I}}$. A role axiom $r \sqsubseteq s$ is *true* in an interpretation \mathcal{I} , and we write $\mathcal{I} \models r \sqsubseteq s$, iff $r^{\mathcal{I}} \subseteq s^{\mathcal{I}}$. \mathcal{I} is a *model* of an ontology \mathcal{O} iff every axiom in \mathcal{O} is *true* in \mathcal{I} . In this case we write $\mathcal{I} \models \mathcal{O}$.

Our method works with TBox and RBox axioms in clausal normal form. We assume w.l.o.g. that a TBox *literal* is a concept of the form a , $\neg a$, A , $\neg A$, $\geq mR.C$ or $\leq nR.C$, where $a \in \mathbf{N}_O$, $A \in \mathbf{N}_C$, $m \geq 1$ and $n \geq 0$ are natural numbers, C is any concept, and R is any role. A TBox *clause* is a disjunction of a finite number of TBox literals. An RBox *clause* is a disjunction of a role symbol and a negated role symbol. TBox and RBox clauses are obtained by clausification of TBox and RBox axioms, where in the latter case role negation is introduced. This is done for consistency in presentation, replacing role inclusion by disjunction as the main operator. Nominals are treated as regular concept symbols in our method, because we are only concerned with role forgetting in this paper. An axiom (clause) that contains a designated (concept or role) symbol \mathcal{S} is called an \mathcal{S} -*axiom* (\mathcal{S} -*clause*). An occurrence of \mathcal{S} is assumed to be *positive* (*negative*) in an \mathcal{S} -axiom (\mathcal{S} -clause) if it is under an *even* (*odd*) number of explicit and implicit negations. For instance, r is assumed to be positive in $\geq mr.A$ and $s \sqsubseteq r$, and negative in $\leq nr.A$ and $r \sqsubseteq s$. A set \mathcal{N} of axioms (clauses) is assumed to be *positive* (*negative*) w.r.t. \mathcal{S} if every occurrence of \mathcal{S} in \mathcal{N} is positive (negative).

3 Forgetting, Ackermann's Lemma, Obstacles to Role Forgetting

Forgetting can be formalized in two ways that are closely related: one is analogous to *model inseparability* (i.e., a semantic notion based on model-conservative extensions; see e.g. [12]), which preserves *equivalence* up to certain signatures (i.e., parameterized equivalence), and the other is via *uniform interpolation* (i.e., a syntactic notion based on deductive-conservative extensions; see e.g. [29]), which preserves *logical consequences* up to certain signatures; see [3] a survey for their interrelation.

Our notion of forgetting is a semantic notion. By $\text{sig}_C(X)$ and $\text{sig}_R(X)$ we denote the sets of respectively the concept and role symbols occurring in X (*excluding nom-*

inals), where X ranges over axioms, clauses, sets of axioms, and sets of clauses. Let $r \in \mathbf{N}_R$ be any role symbol, and let \mathcal{I} and \mathcal{I}' be any interpretations. We say \mathcal{I} and \mathcal{I}' are *equivalent up to r* , or *r -equivalent*, if \mathcal{I} and \mathcal{I}' coincide but differ possibly in the interpretations of r . More generally, \mathcal{I} and \mathcal{I}' are *equivalent up to a set Σ of role symbols*, or *Σ -equivalent*, if \mathcal{I} and \mathcal{I}' coincide but differ possibly in the interpretations of the symbols in Σ . This can be understood as follows: (i) \mathcal{I} and \mathcal{I}' have the same domain, i.e., $\Delta^{\mathcal{I}} = \Delta^{\mathcal{I}'}$, and interpret every concept symbol and every individual symbol identically, i.e., $A^{\mathcal{I}} = A^{\mathcal{I}'}$ for every $A \in \mathbf{N}_C$ and $a^{\mathcal{I}} = a^{\mathcal{I}'}$ for every $a \in \mathbf{N}_O$; (ii) for every role symbol $r \in \mathbf{N}_R$ not in Σ , $r^{\mathcal{I}} = r^{\mathcal{I}'}$.

Definition 1 (Role Forgetting for $\mathcal{ALCOQH}(\nabla)$). Let \mathcal{O} be an $\mathcal{ALCOQH}(\nabla)$ ontology and let Σ be a subset of $\text{sig}_R(\mathcal{O})$. An ontology \mathcal{O}' is a solution of forgetting Σ from \mathcal{O} , iff the following conditions hold: (i) $\text{sig}_R(\mathcal{O}') \subseteq \text{sig}_R(\mathcal{O}) \setminus \Sigma$, and (ii) for any interpretation \mathcal{I} : $\mathcal{I} \models \mathcal{O}'$ iff $\mathcal{I}' \models \mathcal{O}$, for some interpretation \mathcal{I}' Σ -equivalent to \mathcal{I} .

It follows from this that: (i) the original ontology \mathcal{O} and the forgetting solution \mathcal{O}' are equivalent up to (the interpretations of) the symbols in Σ . Also (ii) forgetting solutions are unique up to equivalence, that is, if both \mathcal{O}' and \mathcal{O}'' are solutions of forgetting Σ from \mathcal{O} , then they are logically equivalent. In this paper, Σ is always assumed to be a set of symbols to be forgotten. The symbol in Σ under current consideration for forgetting is referred to as the *pivot* in our method. An axiom (clause) that contains an occurrence of the pivot is referred to as a *pivot-axiom* (*pivot-clause*).

Given an ontology \mathcal{O} and a set Σ of concept and role symbols, computing a solution of forgetting Σ from \mathcal{O} can be reduced to the problem of eliminating single symbols in Σ . This can be based on the use of a monotonicity property found in [1], referred to as *Ackermann's Lemma*. For ontologies, Ackermann's Lemma can be formulated as the following theorem. The proof is an easy adaptation of Ackermann's original result [8].

Theorem 1 (Ackermann's Lemma for Ontologies). Let \mathcal{O} be an ontology that contains axioms $\alpha_1 \sqsubseteq \mathcal{S}, \dots, \alpha_n \sqsubseteq \mathcal{S}$, where $\mathcal{S} \in \mathbf{N}_C$ (or $\mathcal{S} \in \mathbf{N}_R$), and the α_i ($1 \leq i \leq n$) are concepts (or roles) that do not contain \mathcal{S} . If $\mathcal{O} \setminus \{\alpha_1 \sqsubseteq \mathcal{S}, \dots, \alpha_n \sqsubseteq \mathcal{S}\}$ is negative w.r.t. \mathcal{S} , then $\mathcal{O}_{\alpha_1 \sqcup \dots \sqcup \alpha_n}^{\mathcal{S}}$ is a solution of forgetting $\{\mathcal{S}\}$ from \mathcal{O} (i.e., Conditions (i) and (ii) of Definition 1 hold), where $\mathcal{O}_{\alpha_1 \sqcup \dots \sqcup \alpha_n}^{\mathcal{S}}$ denotes the ontology obtained from \mathcal{O} by substituting $\alpha_1 \sqcup \dots \sqcup \alpha_n$ for every occurrence of \mathcal{S} in \mathcal{O} .

The idea of this theorem is based on a notion of 'substitution', which can informally yet intuitively be understood as follows: given an ontology \mathcal{O} with $\mathcal{S} \in \text{sig}_C(\mathcal{O})$ (or $\mathcal{S} \in \text{sig}_R(\mathcal{O})$) being the pivot, if there exists a concept (or a role) α such that $\mathcal{S} \notin \text{sig}(\alpha)$ and α defines \mathcal{S} w.r.t. \mathcal{O} , then we can substitute this *definition* for every occurrence of \mathcal{S} in \mathcal{O} (\mathcal{S} is thus eliminated from \mathcal{O}). This theorem also holds, when the inclusions are reversed, i.e., $\mathcal{S} \sqsubseteq \alpha_1, \dots, \mathcal{S} \sqsubseteq \alpha_n$, and the polarity of \mathcal{S} in the rest of \mathcal{O} is switched, i.e., $\mathcal{O} \setminus \{\mathcal{S} \sqsubseteq \alpha_1, \dots, \mathcal{S} \sqsubseteq \alpha_n\}$ is positive w.r.t. \mathcal{S} .

A crucial task in Ackermann-based approaches, therefore, is to find a *definition* of the pivot w.r.t. the present ontology, that is, to reformulate all pivot-axioms with positive occurrences of the pivot in the form $\alpha \sqsubseteq \mathcal{S}$ (or dually, with negative occurrences of the pivot in the form $\mathcal{S} \sqsubseteq \alpha$), where $\mathcal{S} \notin \text{sig}(\alpha)$. In the context of this paper where axioms are represented in clausal form, this means reformulating all pivot-clauses with positive

occurrences of the pivot in the form $\neg\alpha \sqcup \mathcal{S}$ (or dually, with negative occurrences of the pivot in the form $\neg\mathcal{S} \sqcup \alpha$), where $\mathcal{S} \notin \text{sig}(\alpha)$.

In the case of concept forgetting, a concept symbol (or a negated concept symbol) deep inside a clause could be moved outward by using *Galois connections* between $\forall r$ and $\forall r^-$ (e.g., a TBox clause $\neg A \sqcup \forall r. \mathcal{S}$ can be equivalently rewritten as $(\forall r^- . \neg A) \sqcup \mathcal{S}$, where r^- denotes the inverse of r), or by exploiting the idea of *Skolemization* (e.g., an ABox clause $\neg a \sqcup \exists r. \neg \mathcal{S}$ can be equivalently rewritten as $\neg a \sqcup \exists r. b$ and $\neg b \sqcup \neg \mathcal{S}$, where b is a fresh nominal). This is explained in detail in the work of [4, 27, 33, 34].

In the case of role forgetting, since every role symbol that occurs in a TBox clause is always preceded by a role restriction operator, it is not obvious how to reformulate the TBox pivot-clauses. Thus a direct approach based on Ackermann's Lemma does not seem feasible for role forgetting in ontologies with TBoxes.

How then to do role forgetting? For the translation of ontologies in first-order logic, there are no such obstacles. We could apply Ackermann's Lemma for first-order logic (e.g., as in the DLs algorithm [7]) to eliminate a single role symbol. Such an indirect approach requires suitable back-translation however, which is absent at present for expressive description logics. Translating first-order formulas back into equivalent description logic expressions is not straightforward, in particular when number restrictions are present in the target language. For example, the solution of forgetting the role symbol $\{r\}$ from $\{A_1 \sqcup \geq 2r. B_1, A_2 \sqcup \leq 1r. B_2\}$ in first-order logic is the set:

$$\{\forall x(A_1(x) \vee B_1(f_1(x))), \forall x(A_1(x) \vee B_1(f_2(x))), \forall x(A_1(x) \vee f_1(x) \not\approx f_2(x)), \\ \forall x(A_1(x) \vee A_2(x) \vee \neg B_2(f_1(x)) \vee \neg B_2(f_2(x)))\},$$

where $f_1(x)$ and $f_2(x)$ are Skolem terms, and $f_1(x) \not\approx f_2(x)$ is an inequality. Because of the presence of the Skolem terms and the inequality, it is not clear whether this solution can be expressed equivalently in a description logic.

4 Our Approach to Eliminating A Single Role Symbol

In this section, we introduce our approach to eliminating a single role symbol from a set of TBox and RBox clauses expressible in $\mathcal{ALCOQH}(\nabla)$. It is a direct approach based on non-trivial generalizations of Ackermann's Lemma. The approach has two key ingredients: (i) transformation of the pivot-clauses into *reduced form*, and (ii) a set of *Ackermann rules*. The Ackermann rules reflect the generalizations of Ackermann's Lemma and allow a role symbol to be eliminated from a set of clauses in reduced form.

Definition 2 (Reduced Form). For $r \in N_R$ the pivot, a TBox pivot-clause is in reduced form if it has the form $E \sqcup \geq mr. F$ or $E \sqcup \leq nr. F$, where E and F are concepts that do not contain r , and $m \geq 1$ and $n \geq 0$ are natural numbers. An RBox pivot-clause is in reduced form if it has the form $\neg \mathcal{S} \sqcup r$ or $\mathcal{S} \sqcup \neg r$, where $\mathcal{S} \in N_R$ and $\mathcal{S} \neq r$. A set \mathcal{N} of clauses is in reduced form if all pivot-clauses in \mathcal{N} are in reduced form.

The reduced forms incorporate all basic forms of TBox and RBox clauses in which a role symbol could occur. Transforming a TBox pivot-clause into reduced form is not always possible however, unless definer symbols are introduced. *Definer symbols*

Ackermann I
$\frac{\mathcal{N}, \overbrace{C_1 \sqcup \geq x_1 r.D_1, \dots, C_m \sqcup \geq x_m r.D_m}^{\mathcal{P}_T^+(r)}, \overbrace{E_1 \sqcup \leq y_1 r.F_1, \dots, E_n \sqcup \leq y_n r.F_n}^{\mathcal{P}_T^-(r)}, \overbrace{t_1 \sqcup \neg r, \dots, t_w \sqcup \neg r}^{\mathcal{P}_R^-(r)}}{\mathcal{N}, \mathbf{BLOCK}(\mathcal{P}_T^+(r), E_1 \sqcup \leq y_1 r.F_1), \dots, \mathbf{BLOCK}(\mathcal{P}_T^+(r), E_n \sqcup \leq y_n r.F_n), \mathbf{BLOCK}(\mathcal{P}_T^+(r), t_1 \sqcup \neg r), \dots, \mathbf{BLOCK}(\mathcal{P}_T^+(r), t_w \sqcup \neg r)}$
Ackermann II
$\frac{\mathcal{N}, \overbrace{\neg s_1 \sqcup r, \dots, \neg s_v \sqcup r}^{\mathcal{P}_R^+(r)}, \overbrace{E_1 \sqcup \leq y_1 r.F_1, \dots, E_n \sqcup \leq y_n r.F_n}^{\mathcal{P}_T^-(r)}, \overbrace{t_1 \sqcup \neg r, \dots, t_w \sqcup \neg r}^{\mathcal{P}_R^-(r)}}{\mathcal{N}, \mathbf{BLOCK}(\mathcal{P}_R^+(r), E_1 \sqcup \leq y_1 r.F_1), \dots, \mathbf{BLOCK}(\mathcal{P}_R^+(r), E_n \sqcup \leq y_n r.F_n), \mathbf{BLOCK}(\mathcal{P}_R^+(r), t_1 \sqcup \neg r), \dots, \mathbf{BLOCK}(\mathcal{P}_R^+(r), t_w \sqcup \neg r)}$
Ackermann III
$\frac{\mathcal{N}, \overbrace{C_1 \sqcup \geq x_1 r.D_1, \dots, C_m \sqcup \geq x_m r.D_m}^{\mathcal{P}_T^+(r)}, \overbrace{\neg s_1 \sqcup r, \dots, \neg s_v \sqcup r}^{\mathcal{P}_R^+(r)}, \overbrace{E_1 \sqcup \leq 0r.F_1, \dots, E_n \sqcup \leq 0r.F_n}^{\mathcal{P}_T^{-,0}(r)}, \overbrace{t_1 \sqcup \neg r, \dots, t_w \sqcup \neg r}^{\mathcal{P}_R^-(r)}}{\mathcal{N}, \mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), C_1 \sqcup \geq x_1 r.D_1), \dots, \mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), C_m \sqcup \geq x_m r.D_m), \mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), \neg s_1 \sqcup r), \dots, \mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), \neg s_v \sqcup r), \mathbf{BLOCK}(\mathcal{P}_R^-(r), C_1 \sqcup \geq x_1 r.D_1), \dots, \mathbf{BLOCK}(\mathcal{P}_R^-(r), C_m \sqcup \geq x_m r.D_m), \mathbf{BLOCK}(\mathcal{P}_R^-(r), \neg s_1 \sqcup r), \dots, \mathbf{BLOCK}(\mathcal{P}_R^-(r), \neg s_v \sqcup r)}$
<p>Notation in Ackermann rules ($1 \leq i \leq m, 1 \leq j \leq n, 1 \leq k \leq v, 1 \leq l \leq w$):</p> <p>1. $\mathbf{BLOCK}(\mathcal{P}_T^+(r), E_j \sqcup \leq y_j r.F_j)$ denotes the union of following sets: Ground BLOCK: $\{C_1 \sqcup \geq x_1 \nabla.D_1, \dots, C_m \sqcup \geq x_m \nabla.D_m\}$ 1st-tier BLOCK: $\bigcup_{1 \leq i \leq m} \{E_j \sqcup C_i \sqcup \geq (x_i - y_j) \nabla.(D_i \sqcap \neg F_j)\}$ for any i such that $x_i > y_j$ 2nd-tier BLOCK: $\bigcup_{1 \leq i_1 < i_2 \leq m} \{E_j \sqcup C_{i_1} \sqcup C_{i_2} \sqcup \geq x \nabla.(D_{i_1} \sqcap D_{i_2}) \sqcup \geq (x_{i_1} + x_{i_2} - y_j - (x - 1)) \nabla.((D_{i_1} \sqcup D_{i_2}) \sqcap \neg F_j) \mid x \in \{1, \dots, x_{\min}\}\}$ for any i_1 and i_2 such that $x_{i_1} + x_{i_2} > y_j$, where x_{\min} denotes the minimum of x_{i_1}, x_{i_2} and $x_{i_1} + x_{i_2} - y_j$. ... mth-tier BLOCK: $\{E_j \sqcup C_1 \sqcup \dots \sqcup C_m \sqcup \geq x \nabla.(D_1 \sqcap \dots \sqcap D_m) \sqcup \geq (x_1 + \dots + x_m - y_j - (x - 1)) \nabla.((D_1 \sqcup \dots \sqcup D_m) \sqcap \neg F_j) \sqcup \dots \sqcup \geq 1 \nabla.((D_1 \sqcup \dots \sqcup D_m) \sqcap \neg F_j) \mid x \in \{1, \dots, x_{\min}\}\}$ if $x_1 + \dots + x_m \geq y_j$, where x_{\min} denotes the minimum of x_1, \dots, x_m and $x_1 + \dots + x_m - y_j$.</p> <p>2. $\mathbf{BLOCK}(\mathcal{P}_T^+(r), t_l \sqcup \neg r)$ denotes the set: $\{C_1 \sqcup \geq x_1 t_l.D_1, \dots, C_m \sqcup \geq x_m t_l.D_m\}$. 3. $\mathbf{BLOCK}(\mathcal{P}_R^+(r), E_j \sqcup \leq y_j r.F_j)$ denotes the set: $\{E_j \sqcup \leq y_j s_1.F_j, \dots, E_j \sqcup \leq y_j s_v.F_j\}$. 4. $\mathbf{BLOCK}(\mathcal{P}_R^+(r), t_l \sqcup \neg r)$ denotes the set: $\{\neg s_1 \sqcup t_l, \dots, \neg s_v \sqcup t_l\}$. 5. $\mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), C_i \sqcup \geq x_i r.D_i)$ denotes the union of following sets: Ground BLOCK: $\{C_i \sqcup \geq x_i \nabla.D_i\}$ 1st-tier BLOCK: $\bigcup_{1 \leq j \leq n} \{C_i \sqcup E_j \sqcup \geq x_i \nabla.(D_i \sqcap \neg F_j)\}$ 2nd-tier BLOCK: $\bigcup_{1 \leq j_1 < j_2 \leq n} \{C_i \sqcup E_{j_1} \sqcup E_{j_2} \sqcup \geq x_i \nabla.(D_i \sqcap \neg F_{j_1} \sqcap \neg F_{j_2})\}$... nth-tier BLOCK: $\{C_i \sqcup E_1 \sqcup \dots \sqcup E_n \sqcup \geq x_i \nabla.(D_i \sqcap \neg F_1 \sqcap \dots \sqcap \neg F_n)\}$</p> <p>6. $\mathbf{BLOCK}(\mathcal{P}_T^{-,0}(r), \neg s_k \sqcup r)$ denotes the set: $\{E_1 \sqcup \leq 0s_k.F_1, \dots, E_n \sqcup \leq 0s_k.F_n\}$. 7. $\mathbf{BLOCK}(\mathcal{P}_R^-(r), C_i \sqcup \geq x_i r.D_i)$ denotes the set: $\{C_i \sqcup \geq x_i t_1.D_i, \dots, C_i \sqcup \geq x_i t_w.D_i\}$. 8. $\mathbf{BLOCK}(\mathcal{P}_R^-(r), \neg s_k \sqcup r)$ denotes the set: $\{t_1 \sqcup \neg s_k, \dots, t_w \sqcup \neg s_k\}$.</p>

Fig. 1. Ackermann rules for eliminating the pivot $r \in \mathbb{N}_R$ from a set of clauses in reduced form

are auxiliary concept symbols that do not occur in the present ontology [16], and are introduced as described in [35].

Theorem 2. *Using definer introduction as described in [35], any $\mathcal{ALCCOQH}(\nabla)$ ontology can be transformed into a set of clauses in reduced form. The transformation preserves equivalence up to the introduced definer symbols.*

Let \mathcal{N} be a set of TBox and RBox clauses exhibiting all different reduced forms, for $r \in \text{sig}_R(\mathcal{N})$ the pivot. We refer to the clauses of the form $C \sqcup \geq mr.D$ and the form $C \sqcup \leq nr.D$ as *positive TBox premises* and *negative TBox premises* of the Ackermann rules, respectively. We refer to the clauses of the form $\neg S \sqcup r$ and the form $S \sqcup \neg r$ as *positive RBox premises* and *negative RBox premises* of the Ackermann rules, respectively. By $\mathcal{P}_T^+(r)$ and $\mathcal{P}_T^-(r)$ we denote respectively the sets of positive TBox premises and negative TBox premises. By $\mathcal{P}_R^+(r)$ and $\mathcal{P}_R^-(r)$ we denote respectively the sets of positive RBox premises and negative RBox premises. By $\mathcal{P}^+(r)$ and $\mathcal{P}^-(r)$ we denote respectively the union of $\mathcal{P}_T^+(r)$ and $\mathcal{P}_R^+(r)$, and the union of $\mathcal{P}_T^-(r)$ and $\mathcal{P}_R^-(r)$.

The Ackermann rules, shown in Figure 1, are based on an idea of ‘combination’. Specifically, the idea is to combine all positive premises $\mathcal{P}^+(r)$ with every negative premise $\alpha(r)$ in $\mathcal{P}^-(r)$ (or dually, to combine all negative premises $\mathcal{P}^-(r)$ with every positive premise $\alpha(r)$ in $\mathcal{P}^+(r)$). The result is a finite set of clauses, denoted by **BLOCK**($\mathcal{P}^+(r), \alpha(r)$) (**BLOCK**($\mathcal{P}^-(r), \alpha(r)$)). It is observed that the result obtained from combining $\mathcal{P}^+(r)$ with a negative premise is always identical to the union of the results obtained from combining respectively $\mathcal{P}_T^+(r)$ and $\mathcal{P}_R^+(r)$ with that premise (the dual also holds). We therefore treat every combination of $\mathcal{P}^+(r)$ with a negative premise as two separate combinations in our Ackermann rules (same for the dual), so that it can be understood better from which premises a resulting **BLOCK** of clauses is obtained.

For different $\mathcal{P}_T^+(r), \mathcal{P}_R^+(r), \mathcal{P}_T^-(r), \mathcal{P}_R^-(r)$ and $\alpha(r)$, the combination is performed as 8 different cases (see Figure 1). For most of these cases, the idea is analogous to that of Ackermann’s Lemma (and its dual), where the pivot is eliminated by substituting its *definition* found w.r.t. the present premises for every occurrence of the pivot in these premises. Only for Cases 1 and 5, the combination has a different flavor; their idea is illustrated with two concrete examples.

Case 1: Combining $\mathcal{P}_T^+(r)$ with a negative TBox premise in $\mathcal{P}_T^-(r)$, e.g., $E_j \sqcup \leq y_j r.F_j$ ($1 \leq j \leq n$), yields a set of TBox clauses, denoted by **BLOCK**($\mathcal{P}_T^+(r), E_j \sqcup \leq y_j r.F_j$).

Example 1. Combining $\mathcal{P}_T^+(r) = \{A_1 \sqcup \geq 2r.B_1, A_2 \sqcup \geq 1r.B_2\}$ with $\{A \sqcup \leq 1r.B\}$ yields a set **BLOCK**($\mathcal{P}_T^+(r), A \sqcup \leq 1r.B$) that contains the following subsets of clauses:

Ground BLOCK: $\{A_1 \sqcup \geq 2\nabla.B_1, A_2 \sqcup \geq 1\nabla.B_2\}$

1st-tier BLOCK: $\{A \sqcup A_1 \sqcup \geq 1\nabla.(B_1 \sqcap \neg B)\}$

2nd-tier BLOCK: $\{A \sqcup A_1 \sqcup A_2 \sqcup \geq 1\nabla.(B_1 \sqcap B_2) \sqcup \geq 2\nabla.((B_1 \sqcup B_2) \sqcap \neg B)\}$.

Case 5: Combining $\mathcal{P}_T^{-,0}(r)$ with a positive TBox premise in $\mathcal{P}_T^+(r)$, e.g., $C_i \sqcup \geq x_i r.D_i$ ($1 \leq i \leq m$), yields a set of TBox clauses, denoted by **BLOCK**($\mathcal{P}_T^{-,0}(r), C_i \sqcup \geq x_i r.D_i$). In this case, $\mathcal{P}_T^{-,0}(r)$ denotes the set of negative TBox premises of the form $E \sqcup \leq 0r.F$ (i.e., the cardinality constraints are 0).

Example 2. Combining $\mathcal{P}_T^{-,0}(r) = \{A_1 \sqcup \leq 0r.B_1, A_2 \sqcup \leq 0r.B_2\}$ with $\{A \sqcup \geq 2r.B\}$ yields a set **BLOCK**($\mathcal{P}_T^{-,0}(r), A \sqcup \geq 2r.B$) that contains the following subsets of clauses:

Ground BLOCK: $\{A \sqcup \geq 2\forall.B\}$ Ist-tier BLOCK: $\{A \sqcup A_1 \sqcup \geq 2\forall.(B \sqcap \neg B_1)\}$
 2nd-tier BLOCK: $\{A \sqcup A_1 \sqcup A_2 \sqcup \geq 2\forall.(B \sqcap \neg B_1 \sqcap \neg B_2)\}$.

How are the Ackermann rules used? For a set \mathcal{N} of clauses in reduced form, depending on which kinds of premises the set \mathcal{N} contains, we apply different Ackermann rules (to the premises to eliminate the pivot). Specifically, if \mathcal{N} contains only positive TBox premises, as well as negative premises, we apply the Ackermann I rule. If \mathcal{N} contains only positive RBox premises, as well as negative premises, we apply the Ackermann II rule. If \mathcal{N} contains both positive TBox and RBox premises, as well as negative premises, we apply the Ackermann III rule. Note that there is a gap in the scope of the rules in the Ackermann III rule; it is applicable only to the cases where all negative TBox premises (if they are present in \mathcal{N}) are of the form $E \sqcup \leq 0r.F$ (i.e., the cardinality constraints are 0). If \mathcal{N} contains only positive (negative) premises, we substitute the universal role (the negated universal role) for every occurrence of the pivot in \mathcal{N} .

Theorem 3. *Let \mathcal{I} be any $\mathcal{ALCOQH}(\forall)$ -interpretation. For $r \in \mathbf{N}_R$ the pivot, when an Ackermann rule is applicable, the conclusion of the rule is true in \mathcal{I} iff for some interpretation \mathcal{I}' r -equivalent to \mathcal{I} , the premises are true in \mathcal{I}' .*

This implies that the *conclusion* of an Ackermann rule is a solution of forgetting the pivot from the *premises* of the rule.

5 Description of the Forgetting Method

Given an ontology \mathcal{O} of axioms and a set Σ of role symbols to be forgotten, the forgetting process in our method comprises three main phases: (i) the conversion of \mathcal{O} into a set \mathcal{N} of clauses (**the first phase**), (ii) the Σ -symbol elimination phase (**the central phase**), and (iii) the definer elimination phase (**the final phase**). It is assumed that as soon as a forgetting solution is computed, the remaining phases are skipped.

The first phase: The first phase of the forgetting process internalizes all ABox assertions in \mathcal{O} (if they are present in \mathcal{O}) into TBox axioms, and then transforms \mathcal{O} into a set \mathcal{N} of clauses using standard clausal form transformations.

The central phase: Central to the forgetting process is the Σ -symbol elimination phase, which is an iteration of several rounds in which the elimination of Σ -symbols is attempted. Specifically, the method attempts to eliminate the Σ -symbols one by one using the approach as described in the previous section. In each elimination round, the method performs two steps. The first step transforms every TBox pivot-clause (not in reduced form) into reduced form, so that one of the Ackermann rules can be applied. The second step then applies the Ackermann rule to the pivot-clauses to eliminate the pivot. Upon the intermediate result being returned at the end of each round, the method repeats the same steps in the next round for the elimination of the remaining symbols in Σ (if necessary). If a Σ -symbol has been found ineliminable from the present ontology (i.e., none of the Ackermann rules is applicable to the current reduced form), the method skips the current round and attempts to eliminate another symbol in Σ .

The final phase: To facilitate the transformation of TBox pivot-clauses (not in reduced form) into reduced form, definer symbols might have been introduced during the

elimination rounds. The final phase of the forgetting process attempts to eliminate these definer symbols by using Ackermann-based rules for concept forgetting; for details see [17, 33, 34]. This allows definer symbols in many cases to be eliminated, because occurrences of one polarity of any definer symbol will be top-level occurrences. There is no guarantee however that all definer symbols can be eliminated, even if we use the generalization of Ackermann’s Lemma involving the use of fixpoint operators. In practice, most real-world ontologies are normalized and therefore in reduced form, which means that for such ontologies definer introduction and elimination are obsolete.

What the method returns as output at the end of the forgetting process is a finite set \mathcal{O}' of clauses. If \mathcal{O}' does not contain any symbols in Σ , then the method was *successful* in computing a solution of forgetting Σ from \mathcal{O} . The following theorem states termination and soundness of the method.

Theorem 4. *For any $\mathcal{ALCOQH}(\nabla)$ -ontology \mathcal{O} and any set $\Sigma \subseteq \text{sig}_R(\mathcal{O})$ of role symbols to be forgotten, the method always terminates and returns a finite set \mathcal{O}' of clauses. (i) If \mathcal{O}' does not contain any symbols in Σ or any newly-introduced definer symbols, then \mathcal{O}' is a solution of forgetting Σ from \mathcal{O} (i.e., \mathcal{O}' is equivalent to the original ontology \mathcal{O} up to the symbols in Σ). (ii) If \mathcal{O}' does not contain any symbols in Σ but it contains newly-introduced definer symbols, then \mathcal{O}' is a solution of forgetting Σ from \mathcal{O} in an extended language (and \mathcal{O} and \mathcal{O}' are equivalent up to the symbols in Σ , as well as the newly-introduced definer symbols present in \mathcal{O}').*

The method may return a finite set \mathcal{O}' of clauses that still contains some Σ -symbols. In this case, the method was *not successful*. This is because there is a gap in the scope of the rules in the Ackermann III rule, as mentioned before Theorem 3.

Theorem 5. *Given an $\mathcal{ALCOQH}(\nabla)$ -ontology \mathcal{O} in clausal form and a subset Σ of $\text{sig}_R(\mathcal{O})$, our method is guaranteed to compute a solution of forgetting Σ from \mathcal{O} , possibly with concept definer symbols, iff any one of the following conditions holds for each $r \in \Sigma$: (i) \mathcal{O} does not contain any RBox axioms of the form $\neg S \sqcup r$ for $S \neq r$; (ii) \mathcal{O} does not contain any TBox axioms with number restrictions of the form $\geq mr.D$ for $m \geq 1$; or (iii) \mathcal{O} does not contain any TBox axioms with number restrictions of the form $\leq nr.D$ for $n \geq 1$.*

An explanation of Case (ii) is the following: let \mathcal{O} be an $\mathcal{ALCOQH}(\nabla)$ -ontology in clausal form, and let Σ be a subset of $\text{sig}_R(\mathcal{O})$. For $r \in \Sigma$ the pivot, if \mathcal{O} does not contain any TBox axioms with number restrictions of the form $\geq mr.D$ for $m \geq 1$, then there will be no positive TBox premises occurring in \mathcal{O} (when \mathcal{O} is transformed into reduced form). \mathcal{O} is thus in the form suitable for application of the Ackermann II rule. Explanations of Cases (i) and (iii) are similar, i.e., \mathcal{O} of Cases (i) and (iii) in reduced form are suitable for application of the Ackermann I and III rules, respectively.

6 Evaluation and Empirical Results

To gain insight into the practical applicability of the method, we implemented a prototype in Java using the OWL-API, and evaluated it on two corpora of slightly adjusted real-world ontologies from the NCBO BioPortal repository.² The experiments were run

² <http://bioportal.bioontology.org/>

on a desktop computer with an Intel® Core™ i7-4790 processor, four cores running at up to 3.60 GHz and 8 GB of DDR3-1600 MHz RAM.

Ontology	T.A.	R.A.	C.S.	R.S.	I.S.	\geq	\leq	DL Expressivity
PANDA	102	44	99	49	0	4	20	$\mathcal{ALCCOQH}(\mathcal{D})$
OPB	973	68	779	59	0	179	140	$\mathcal{ALCCOQH}(\mathcal{D})$
ROO	1285	296	1183	209	0	278	0	$\mathcal{SHIQ}(\mathcal{D})$
EPO	1995	131	1388	44	0	322	78	$\mathcal{SHIQ}(\mathcal{D})$
SDO	2738	114	1382	77	59	1305	14	$\mathcal{SHOIQ}(\mathcal{D})$

T.A. = TBox and ABox Axioms, R.A. = RBox Axioms, C.S. = Concept Symbols, R.S. = Role Symbols, I.S. = Individual Symbols, \geq = \geq -restrictions, \leq = \leq -restrictions

Fig. 2. Ontologies selected from the NCBO BioPortal repository

The corpora used for our experiments were constructed as follows. First, we selected from the NCBO BioPortal repository ontologies containing both number restrictions and role inclusions. Then, we filtered out those containing less than 40 role symbols (because they were less challenging). Consequently, five ontologies stood out from the repository (see Figure 2 for their profiles). We further adjusted these ontologies to the language of $\mathcal{ALCCOQH}$ (i.e., none of them included the universal role ∇). This was done by removing those axioms not expressible in $\mathcal{ALCCOQH}$ and using simple simulations. For example, an exact number restriction $=1r.D$ was simulated by $(\geq 1r.D) \sqcap (\leq 1r.D)$, and a functional role $\text{func}(r)$ was simulated by $\leq 1r.\top$. In this way we obtained a corpus (**Corpus I**) of five $\mathcal{ALCCOQH}$ -ontologies. By removing all role inclusions in each of the ontologies in Corpus I, we obtained another corpus (**Corpus II**) that contained five \mathcal{ALCCOQ} -ontologies. Using Corpora I and II as test data sets for our experiments, we considered how the presence of role inclusions affected the results of role forgetting, in particular, the success rates.

To fit in with different real-world use, we evaluated the performance of forgetting different numbers of role symbols from each ontology. In particular, we forgot 30% (i.e., a small number) and 70% (i.e., a large number) of role symbols in the signature of each ontology. The symbols to be forgotten were randomly chosen. We ran the experiments 50 times on each ontology and averaged the results to verify the accuracy of our findings. A timeout of 100 seconds was imposed on each run of the experiment.

The results are shown in Figure 3, which is rather revealing in several ways. The most encouraging result was that our prototype was successful (i.e., forgot all symbols in Σ) in all test cases (within a short period of time) except in the case of SDO, despite role inclusions being present in them. This was unexpected, but there are obvious explanations (for the 100% success rate cases): inspection revealed that these ontologies did not contain axioms with number restrictions of the form $\leq nS.D$ for $n \geq 1$, and the likelihood of Σ -symbols occurring positively in the RBox axioms was very low. What was as expected was that definer symbols were not introduced in the test ontologies (as most real-world ontologies were by design flat and therefore already in reduced form). This gave us best benefits of using our Ackermann-based approach. Because of the nature of the Ackermann III and V rules, forgetting a role symbol could lead to growth of

Σ (30%)	Corpus I				Corpus II			
Ontology	D.I.	Time	S.R.	G.C.	D.I.	Time	S.R.	G.C.
PANDA	0	0.576	100%	0.0%	0	0.571	100%	0.0%
OPB	0	1.734	100%	4.2%	0	1.695	100%	4.3%
ROO	0	4.674	100%	0.0%	0	4.339	100%	0.0%
EPO	0	7.183	100%	7.1%	0	7.171	100%	7.3%
SDO	0	18.325	71.1%	7.3%	0	17.817	69.4%	7.7%
Σ (70%)	Corpus I				Corpus II			
PANDA	0	1.267	100%	0.0%	0	1.252	100%	0.0%
OPB	0	3.937	100%	6.1%	0	3.869	100%	6.5%
ROO	0	9.663	100%	0.0%	0	9.602	100%	0.0%
EPO	0	15.874	100%	8.2%	0	15.389	100%	8.5%
SDO	0	39.196	32.1%	8.5%	0	38.084	30.9%	8.9%

D.I. = Definer Introduced, S.R. = Success Rate, G.C. = Growth of Clauses

Fig. 3. Performance results of forgetting 30% and 70% of role symbols

clauses in the forgetting solution, which was however modest (see the G.C. column in Figure 3) compared to the theoretical worst case (i.e., $2^n - 1$ for n the cardinality of $\mathcal{P}_{\mathcal{T}}^+$). In the case of SDO the ‘hasPart’ role occurred positively in more than 50 different TBox clauses in reduced form. This means that if ‘hasPart’ was chosen as one of the Σ -symbols to be forgotten, then there were more than 50 positive TBox premises in the ontology SDO in reduced form (i.e., $n \geq 50$), which led to a blow-up of clauses in the forgetting solution (i.e., $\geq 2^{50} - 1$ clauses). Indeed, the failures on SDO were due to space explosion caused by the high frequency of the ‘hasPart’ role. We found that without this role in Σ , the success rate was 100%.

7 Conclusions

In this paper, we have presented a practical method of semantic role forgetting for ontologies expressible in the description logic $\mathcal{ALCCOQH}(\nabla)$. The method is the only approach so far for forgetting role symbols in description logics with number restrictions. This is very useful from the perspective of ontology engineering as it increases the arsenal of tools available to create decompositions and restricted views of ontologies. We have shown that the method is terminating and is sound in the sense that the forgetting solution is equivalent to the original ontology up to the forgotten symbols, sometimes with new concept definer symbols. Although our method is not complete, performance results of an evaluation with a prototypical implementation have shown very good success rates on two corpora of real-world biomedical ontologies.

Though the main focus of this paper has been the problem of role forgetting, (non-nominal) concept forgetting can be reduced to role forgetting by substituting $\geq 1r.\top$ for every occurrence of the concept symbol one wants to forget, where r is a fresh role symbol, and then forgetting $\{r\}$. For example, forgetting the concept symbol $\{B\}$ from the ontology $\{\neg A \sqcup \geq 1s.B\}$ can be reduced to the problem of forgetting the role symbol $\{r\}$ from the ontology $\{\neg A \sqcup \geq 1s.\geq 1r.\top\}$. Thus our method also provides an incomplete approach to concept forgetting for $\mathcal{ALCCOQH}(\nabla)$ -ontologies.

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