

# ub-botswana participation to CLEF eHealth IR challenge 2017: Task 3 (IRTask1 : ad-hoc search)

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**Abstract.** In this paper, we describe the methods deployed in the different runs submitted for our participation to the CLEF eHealth 2017 Task 3: Patient-Centered Information Retrieval, IRTask 1: ad-hoc search. Specifically, we deploy DPH term weighting model with explicit relevance feedback, where the expansion terms are selected from documents which were previously identified as relevant by assessors for each query. As improvement we deployed proximity search using both Full Dependence (FD) and Sequential Dependence (SD) variants of the Markov Random Fields and the Divergence From Randomness (DFR) based dependence models to re-rank documents, which have query terms in close proximity. In another approach, we deploy pseudo relevance feedback, where the expansion terms are selected from the top 3 ranked documents after a first pass retrieval. In addition, we deploy proximity search using the SD variant of the DFR based dependence model.

**Keywords:** Explicit relevance Feedback, Proximity Search, Pseudo Relevance Feedback

## 1 Introduction

In this paper, we describe the methods used for our participation to the CLEF eHealth 2017 Task 3: Patient-Centered Information Retrieval, IRTask 1: ad-hoc search. Detailed task description is available in the overview paper of Task 3 [7]. This task is a continuation of the previous CLEF eHealth Information Retrieval (IR) task that ran in 2013 [3], 2014 [4], 2015 [6] and 2016 [5]. The CLEF eHealth task aims to evaluate the effectiveness of information retrieval systems when searching for health related content on the web, with the objective to foster research and development of search engines tailored to health information seeking [6, 5]. The CLEF eHealth Information Retrieval task was motivated by the problem of users of information retrieval systems formulating circumlocutory queries, using colloquial language instead of medical terms as studied by Zuccon et al. [9] and Stanton et al. [8]. In their studies, they found that modern search engines are ill-equipped to handle such queries; only 3 out of the 10 results were highly useful for self diagnosis. In this paper, we attempt to tackle this problem by using explicit relevance feedback in order to improve the retrieval effectiveness. In addition, we deploy proximity search to further improve the

retrieval effectiveness of our system. Moreover, we investigate whether pseudo relevance feedback, where the expansion terms are selected from the top 3 ranked documents after a first pass retrieval can improve the retrieval effectiveness. This paper is structured as follows. Section 2 contains a background on algorithms used. Section 3 describes the experimental environment. In Section 4, we describe the experimental the 5 runs submitted by team ub-botswana. Section 5 presents and discusses results on training data.

## 2 Background

In this section, we begin by presenting a brief but essential background on the different algorithms used in our experimental investigation and evaluation. We start describing the DPH term weighting model in Section 2.1. We then describe the Bose-Einstein 1 (Bo1) model for query expansion in Section 2.2.

### 2.1 DPH Term Weighting Model

For all our experimental investigation and evaluation we used the parameter-free DPH term weighting model from the Divergence from Randomness (DFR) framework [2]. The DPH term weighting model calculates the score of a document  $d$  for a given query  $Q$  as follows:

$$score_{DPH}(d, Q) = \sum_{t \in Q} qtf \cdot norm \cdot \left( tf \cdot \log\left( tf \cdot \frac{avg\_l}{l} \cdot \left( \frac{N}{tfc} \right) \right) + 0.5 \cdot \log(2 \cdot \pi \cdot tf \cdot (1 - t_{MLE})) \right) \quad (1)$$

where  $qtf$ ,  $tf$  and  $tfc$  are the frequencies of the term  $t$  in the query  $Q$ , in the document  $d$  and in the collection  $C$  respectively.  $N$  is number of documents in the collection  $C$ ,  $avg\_l$  is the average length of documents in the collection  $C$  and  $l$  is the length of the document  $d$ .  $t_{MLE} = \frac{tfc}{l}$  and  $norm = \frac{(1 - t_{MLE})^2}{tfc + 1}$ .

### 2.2 Bose-Einstein 1 (Bo1) Model for Query Expansion

In our experimental investigation and evaluation, we used the Terrier-4.0 Divergence from Randomness (DFR) Bose-Einstein 1 (Bo1) model to select the most informative terms from the topmost documents after a first pass document ranking. The DFR Bo1 model calculates the information content of a term  $t$  in the top-ranked documents as follows [1]:

$$w(t) = tfx \cdot \log_2 \frac{1 + P_n(t)}{P_n(t)} + \log_2(1 + P_n(t)) \quad (2)$$

$$P_n(t) = \frac{tfc}{N} \quad (3)$$

where  $P_n(t)$  is the probability of  $t$  in the whole collection,  $tfx$  is the frequency of the query term in the top  $x$  ranked documents,  $tfc$  is the frequency of the term  $t$  in the collection, and  $N$  is the number of documents in the collection.

### 3 Experimental Setting

**FAQ Retrieval Platform:** For all our experimental evaluation, we used Terrier-4.2, an open source Information Retrieval (IR) platform. All the documents (ClueWeb 12 B13) used in this study were first pre-processed before indexing and this involved tokenising the text and stemming each token using the full Porter stemming algorithm. Stopword removal was enabled and we used Terrier stopword list. The index was created using blocks to save positional information with each term. For pseudo relevance feedback, we used Terrier-4.2 DFR Bose-Einstein 1 (Bo1) model for query expansion to select the 10 most informative terms from the top 3 ranked documents.

### 4 Description of the Different Runs

*Term Weighting Model:* For all our runs, we used the parameter-free DPH Divergence From Randomness term weighting model in Terrier-4.2 IR platform to score and rank the documents in the ClueWeb 12 B13 document collection.

*ub-botswana\_IRTask1\_run1:* We ranked the documents using DPH DFR term weighting. As improvement, we deployed explicit relevance feedback, where we selected expansion terms from the top 3 documents that were explicitly marked relevant by assessors for each query. We used the Terrier-4.2 DFR Bose-Einstein 1 (Bo1) model for query expansion to select the 10 most informative terms from these documents. In addition, we deployed the Full Dependence (FD) variant of the Markov Random Fields for terms dependence. Full Dependence assumes all query terms are in some way dependent on each other. In this work, we experimentally selected a window size of 15, which yielded the highest retrieval performance on the training data.

*ub-botswana\_IRTask1\_run2:* We performed a first pass retrieval using DPH DFR term weighting model. As improvement, we deployed explicit relevance feedback, where we deployed DFR Bo1 model for query expansion to select the expansion terms.

*ub-botswana\_IRTask1\_run3:* We produced an initial ranking using DPH DFR term weighting. As improvement, we deployed explicit relevance feedback and used the DFR Bo1 model for query expansion to select the expansion terms. In addition, we deployed the Sequential Dependence (SD) variant of the Divergence from Randomness based dependence model. Sequential Dependence only assumes a dependence between neighbouring query terms. In this work, we experimentally selected a window size of 15, which yielded the highest retrieval performance on the training data.

*ub-botswana\_IRTask1\_run4:* We used the parameter-free DPH DFR term weighting model to produce and initial ranking. As improvement, we deployed a simple

pseudo-relevance feedback on the local collection. We used the Bo1 model for query expansion to select the expansion terms. We then performed a second pass retrieval on the local collection with the new expanded query.

*ub-botswana\_IRTask1\_run5*: We used *ub-botswana\_IRTask1\_run4* as the baseline system. As improvement, we deployed the Sequential Dependence (SD) variant of the Divergence from Randomness based term dependence model. Sequential Dependence only assumes a dependence between neighbouring query terms. In this work, we experimentally selected a window size of 15, which yielded the highest retrieval performance on the training data.

## 5 Results and Discussion

These working notes were compiled and submitted before the relevance judgments were released. Below we present the results of our runs using the 2016 query relevance judgments. Please note that the official results to be released will be different because new query relevance judgments will be released

**Table 1.** Retrieval Results for all 5 Runs using 2016 qrel

Run ID	P@5	P@10	rel_ret
DPH Baseline	0.2973	0.2710	10104
<i>ub-botswana_IRTask1_run1</i>	0.5093	0.4423	13661
<i>ub-botswana_IRTask1_run2</i>	0.4513	0.4097	13661
<i>ub-botswana_IRTask1_run3</i>	0.4433	0.4073	13661
<i>ub-botswana_IRTask1_run4</i>	0.3160	0.2903	11129
<i>ub-botswana_IRTask1_run5</i>	0.2873	0.2617	10104

Table 1 presents our results on the training data. From this table, we see a degradation in performance when we incorporate term dependence only in our ranking (*ub-botswana\_IRTask1\_run5*). However, when we deploy pseudo relevance feedback (*ub-botswana\_IRTask1\_run4*), we see an improvement in the retrieval performance in terms of precision at 5 (P@5), precision at 10 (P@10) and recall (rel\_ret). Moreover significant improvement in the recall is obtained when explicit relevance feedback is deployed (*ub-botswana\_IRTask1\_run1*), (*ub-botswana\_IRTask1\_run2*) and (*ub-botswana\_IRTask1\_run3*). In addition, we obtain mixed results when we incorporate proximity search after deploying explicit relevance feedback. For example, there was an improvement in the retrieval performance in terms of P@5 and P@10 when we deploy the FD variant of the Markov Random Fields for term dependence using a window size of 15 (*ub-botswana\_IRTask1\_run1*). In contrast, we obtain a degradation in the retrieval

performance in terms of P@5 and P@10 when we deploy the SD variant of the Divergence from Randomness based term dependence model using a window size of 15 (*ub-botswana\_IRTask1\_run5*).

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