

Towards a Quality Framework for Enterprise Architecture Models

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Abstract—While Enterprise Architecture Management is an established and widely discussed field of interest in the context of information systems research, we identify a lack of work regarding quality assessment of enterprise architecture models in general and frameworks or methods on that account in particular. By analyzing related work by dint of a literature review in a design science research setting, we provide twofold contributions. We (i) suggest an Enterprise Architecture Model Quality Framework (EAQF) and (ii) apply it to a real world scenario.

Keywords—Enterprise Architecture, model quality, quality framework, EA modeling.

I. INTRODUCTION

Enterprise Architecture Management (EAM) aims to maintain flexibility, cost efficiency and transparency within an enterprise and addresses effective and efficient Business-IT-alignment [1]. Research and practice in this discipline provide a profound pool of frameworks, tools and guidelines to master this complex task of EAM in order to systematically develop IT landscapes tailored to the business context [2].

One central artefact of EAM is the enterprise architecture (EA) model. It provides a holistic view on the enterprise with respect to its elements and dependencies that are required for value creation. Several EA modeling languages like ArchiMate exist, that are used in practice [3, 4].

In general, the EAM discipline is an extensively discussed research field regarding EA methodologies, management or lifecycle processes [5]. Nevertheless, to our knowledge no widely accepted approach exists, that enables stakeholders of EA to completely assess the EA model’s quality [6]. Still, the benefits of EA management highly depend on the model quality [7]. As we found out during our research, only a few articles address this research gap with the specification of EA quality attributes, but without providing a holistic framework how to actually use them in an EAM context. Thus, we address with this work the following research goal: to develop a holistic framework that reveals what EA practitioners have to consider when assessing their EA model’s quality. In contrast to other works (cf. [7]), we therefore solely focus on the EA model and define the following research question (RQ): *What aspects does a framework for assessing the quality of EA models have to contain?* From our point of view this includes the analysis of related work, which also may root in other

domains than EA modeling, the structure of the framework and guidelines for the framework’s application to real-world contexts.

We structure our work as follows: After setting up our research design in section II, we discuss related work in section III. In the main part, we present the resulting EA model quality framework (EAQF) (section IV) and how we applied it in a business context (section V). In section VI we conclude our work, discuss limitations and derive future work in this research field based on our findings.

II. RESEARCH DESIGN

Design science research (DSR) is a widely applied and accepted mean for developing artefacts in information systems (IS) research. It offers a systematic structure for developing artefacts, such as constructs, models, methods, or instantiations [8]. As our research question indicates the development of means, the application of a DSRM is appropriate. We stick to the approach of Peffers et al. [9], since it transpired as effective in former research. It is split up into six single steps and two possible feedback loops (cf. Figure 1).

For the development of means to solve our research question, we conducted a systematic literature review (SLR) by combining the approaches by Kitchenham et al. [10] and Webster and Watson [11]. After defining the SLR scope, which is in line with our research question from section I, we searched for the combination of the terms “enterprise

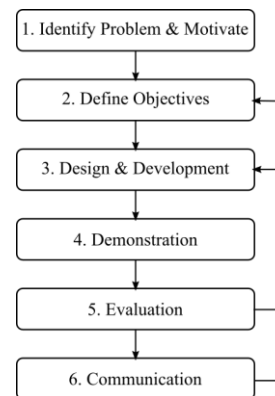


Fig. 1. Design Science Research Process

models syntactic and semantic correctness as well as its completeness using insights from set theory [25].

As discussed earlier, the common sense of all articles is that the EA model's quality has to be evaluated regarding its purpose and the stakeholders' concerns [15]. Hence, Lankhorst et al. emphasize that the establishment of the EA's purpose and its stakeholders is a vital aspects, each EA model should follow [3]. As can be seen in this section, numerous research relates to the topic of EA quality. Still, most of the identified articles do not provide a holistic approach how to assess the quality of an EA model [7]. Therefore, we aim to provide a framework that structures all relevant information from related work and helps enterprise architects to reflect on their EA models. This EA quality framework groups both qualitative and quantitative attributes, explains them and gives guidelines how to measure them. We present it in the next section before applying it to a certain use case afterwards.

IV. A FRAMEWORK FOR ASSESSING THE QUALITY OF EA MODELS

In contrast to the other research on EA quality, we propose a framework that aims to guide architects how to assess their EA's quality. Thereby, the framework solely focuses on the EA model as one of the EA products. Thus, we do not address EA management processes or services related to an EA product. The framework was built by (i) identifying and using an appropriate conceptual framework categorizing different quality aspects of EA models and (ii) identifying relevant EA model attributes within these categories. In contrast to related work (cf. [6, 7, 13, 14, 20]) this goes beyond merely measure quality attributes and guides architects how they should apply them to their concrete EA context.

For (i) identifying a conceptual quality framework approaches from disciplines beyond EA research can be facilitated. Semiotics theory provides a general framework for assessing model quality [27]. It addresses model quality from the perspectives of syntax, semantic and pragmatism and is applied in research related to enterprise reference model quality [28] or conceptual modeling [29]. While the syntactic quality discusses the alignment between an IS model and the modeling language it uses, semantic quality refers to the similarity between the IS model and the domain it reflects on. Further, the pragmatic quality aspect considers choices made during modeling in terms of comprehensibility [29].

We understand this threefold conceptualization of model quality sufficient when it comes to detailed IS models like entity relation graphs or business process models. EA models, on the other hand, are means for decisions regarding business-IT alignment or other strategic issues related to IT. They focus on a broader and more aggregated extract of reality. In order to support EA related decisions, architects may also include economic aspects in their EA models. Further, due to their complexity and the heterogeneity of their addressees, the structure and the documentation of EA models seem to have a distinctive influence on their quality. Therefore, we assess the framework from semiotics theory as too narrow and aim to conceptualize EA model quality from a more holistic point of

view. Therefore, we apply the framework of modeling principles proposed by Becker et al. Besides using the dimensions of semiotics theory they explicitly define quality aspects addressing economic efficiency and the structure of enterprise models. They name six principles for proper modeling [15] as explained in the following:

- **Principle of validity:** Does the model match the segment of reality? Syntactic and semantic correctness are the most important criteria for this principle.
- **Principle of relevance:** It says that it is not necessary to model all elements from the real world, just the ones that are needed for the modeling purpose. The decision for relevance has to be made with aim and purpose of the model.
- **Principle of clarity:** All stakeholders of the EA model have to comprehend the model, even without being involved in the modeling process itself.
- **Principle of economic efficiency:** Modeling should follow a clear purpose or aim. Even the cost-effectiveness should be taken into account.
- **Principle of systematic model construction:** All model parts should follow a general documented structure and should be held consistent.
- **Principle of comparison:** The model should be comparable in semantic and syntactic against others, even with different model notations. This includes possible transformations into different modeling languages.

These six principles of model quality form the basis we used to fill with quality attributes from the literature analysis. Therefore, we analyzed relevant research we found for EA quality attributes that focus on the EA model in concrete and related them to the appropriate quality principle. Differently named attributes were aggregated, when they addressed the same aspect of EA model quality. The following articles were identified: [3, 6, 7, 13–15, 20, 23, 25]. For each attribute we defined a concrete description and identified assessment methods that help architects to measure them. Thereby we used both qualitative and quantitative as metric types. Although they relate to quantitative metrics, we also defined yes/no questions as a separate type, as well as assessment methods that could be performed by dint of modeling tools.

Before presenting the EA quality framework (EAQF) in detail, we explain how to use it. According to Lankhorst et al. EA modeling is goal-driven and, thus, highly depends on its purpose, its different stakeholders, and their concerns towards the EA model. Therefore, an EA model repository stores all model elements and their relationships among each other. In order to address the manifold stakeholder concerns, different views on this complete EA model exist, that address different aspects [3]. We deem it vital to align our EAQF with this approach. Thus, we structure it by three dimensions: (i) EA purpose, objective, stakeholders, (ii) EA model as a whole, and (iii) certain EA model views. Statements should be made regarding these dimensions. Thus, for each of these dimensions we identify relating quality attributes from the different principles from [15]. This is illustrated by Fig. 2.

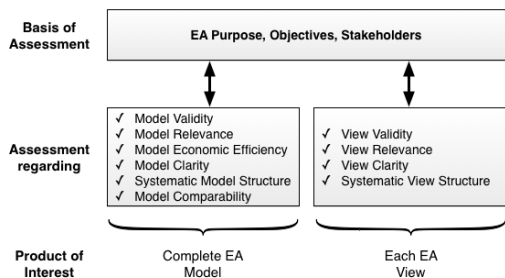


Fig. 2. The Enterprise Architecture Model Quality Framework (EAQF)

As shown in the illustration, the (A) EA purpose, its objectives and the stakeholders' concerns form the basis to assess the actual EA model's quality. After clarifying this, the EAQF defines quality attributes addressing the two EA products of interest: (B) the EA model as a whole and (C) each EA model view in particular. While the attributes related to the basis help to assess whether the EA's scope is sufficiently determined, the architect can use the results from (B) and (C) to balance them against the determined EA scope, if e.g. the level of detail is appropriate. This supports the idea that different EA models focus on different aspects. For example, if an enterprise uses EA models to analyze the complexity of their IS landscape, a highly detailed business architecture layer does not seem appropriate. Although the quality attribute "level of detail" (see TABLE 4) for the business architecture model view in this case would be rated as "bad" in isolated consideration, in balance against the EA's purpose it is appropriate.

The complete EAQF is shown by dint of several tables that are described in the following. TABLE 1 gives an overview of all EA quality attributes that were identified in literature. They are described and classified towards the respective quality principle from [15]. Further, the table reveals from what source the attributes were taken. After relating the attributes to the quality principles we decided whether an attributes is to be assessed regarding (A) the EA purpose, the (B) complete EA model or (C) for each single EA view. We therefore initially clarified for each attribute, if it addresses (A) contextual characteristics (e.g., a clear EA purpose, stakeholders) or the (B/C) EA model in particular (e.g., semantic and syntactical correctness). To distinguish between (B) and (C) it was clarified whether the attribute can only be assessed on global model level or depends on a single EA view. For instance, while statements for the attribute "completeness vs. conciseness" can only be made on global level, the attributes "Level of Detail" should be discussed for each EA view. Here, some attributes may also be assessed on both, (B) and (C) level (e.g., "comprehensibility"). The resulting allocation of attributes can be seen in TABLE 2 (attributes addressing the EA purpose), TABLE 3 (attributes addressing the whole EA model) and TABLE 4 (attributes addressing a specific EA model view). Each attribute can consist of several assessment methods, that can be either of qualitative, quantitative, Yes/No or Tool Support-related nature. For each model view a separate assessment should be done. Furthermore, we want to emphasize that these attributes offer a guideline to the architect, who is conducting the EA model quality assessment. Thus, depending on the model's purpose, he may exclude irrelevant attributes.

V. APPLYING THE FRAMEWORK IN PRACTICE

A. Case Environment

A single case study fits our purpose of gaining a first, in-depth reflection of applicability of our framework in a real life scenario [16]. A case study is further suitable to shed light on the phenomenon of interest from different perspectives [16], which fitted our research objective of empowering EA practitioners assessing EA model's quality.

The case organization is one of the leading insurance providers in the German-speaking market. About 30,000 employees and 16,000 associated agents count toward the workforce of the company. The organization gains revenues of over 16 billion Euro and manages investments of 135 billion Euro. Furthermore, the case organization has several subsidiaries: One of them is the internal IT service provider, in which we conducted our case.

The IT service provider employs around 1,400 employees. These are responsible for operations and development of technological solutions for the whole organization, including all of its subsidiaries. The IT provider began establishing EAM initiatives in 2008 and currently hosts two EAM units: The first unit, "architecture management", employs twelve members, being responsible for application development. The second unit, "infrastructure architecture management", hosts fifteen internal and thirty external (e.g., consultants) members, who are responsible for infrastructure management (e.g., operations of servers). As regulatory instances, both units are responsible for all EA related questions, ranging from EA development to EA implementation and EA maintenance.

There exist mainly two processes to maintain the elements of the EA model. First, the EA model is used to execute an application lifecycle management (ALM). Second, the EA model is used to execute an infrastructure lifecycle management (ILM), whose results are incorporated into the ALM.

The EAM department utilizes the ALM process to calculate the technical fit and the conformance to business demands once a year. Depending on these results the EAM department either determines areas of activity to improve technical fit and business conformance or decides in accordance with the business to shut down the application. Moreover, the ALM process is employed to trigger the responsible persons to

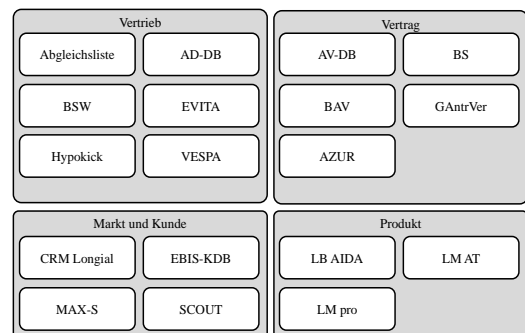


Fig. 3. Exemplary Extract of the AWP View

TABLE 1. EA Quality Principles and its related Quality Attributes

QUALITY PRINCIPLE	QUALITY ATTRIBUTE	DESCRIPTION	SOURCE
VALIDITY	Syntactical Properness	Does the model follow the specifications of the chosen modeling language(s)? EA model may integrate different modeling languages.	[6, 15, 20, 25]
	Semantical Properness	Is the EA model correct in terms of representing the reality in relation to the EA's purpose?	[15, 20, 25]
	Up-To-Dateness	Does the EA model represent the current situation?	[7]
	Quality of Information Sources	Can the information source, on which the EA model view relies be considered correct?	[7]
	Uniformity and Cohesion	The EA model should follow a certain framework behind it. Further, it should represent a coherent aggregate, where all parts of it are integrated with each other.	[6, 7, 13]
	Model Reliability	Does the EA model what it is supposed to do and what is expected from it?	[6, 13]
	Reduction of Redundancy	A beneficial EA model does not hold any duplicates of a model or model elements that only seem to be different but are the same.	[14, 20]
RELEVANCE	EA Purpose and Objectives	In order to properly develop a beneficial EA model a purpose and objectives clearly have to be defined.	[7, 20, 23, 23]
	EA Stakeholders Concerns	Next to the EA purpose in general all involved stakeholders have to be defined and their concerns towards the EA model have to need to be defined.	[3]
	Usefulness	Each EA model should be relevant and beneficial for its user. Every part of the EA model has to be developed for a certain purpose and addresses a set of stakeholders.	[6, 7, 13]
	Level of Detail	An EA model should provide both a holistic view and sufficient level of detail in the relevant areas.	[7, 15]
	Completeness vs. Conciseness	Does the EA model represent on the one hand all necessary information and on the other hand not too much information is provided regarding its purpose.	[7, 14, 20, 25]
ECONOMIC EFFICIENCY	Reusability	For efficient development the reusability of model components has to be enabled. Business of Software Reference Model can help in this regard.	[6, 14]
	Flexibility	In order to support the organization's adaptations to environmental changes, the EA model should be able to be highly flexible.	[6, 13, 14]
	Model Maintenance	In the light of continuous model quality, the EA model should be maintained.	[13, 14, 20]
CLARITY	Comprehensibility	All aspects represented in the EA model have to be easily understandable for the model user.	[7, 13]
	Layout Design	Each EA model view should provide a clear layout of elements.	[14, 15]
	Complexity	The model complexity should be appropriate regarding its level of detail and purpose.	[7, 20]
	Documentation	The EA model should be sufficiently documented.	[7, 15]
	Communication	The EA model has to be communicated by the right means. Therefore, it has to be clear who needs what information in what detail at what time.	[7, 14]
SYSTEMATIC MODEL STRUCTURE	EA Model Structure	Every EA model should ground on a thoroughly predefined model structure. The structure should be aligned with the model's purpose and guide both the modeler and user.	[7, 15, 20]
	Model View Specification	In order to properly maintain an EA model, the intention of each model view has to be made transparent.	[3]
	Model View Linkage	In order to prevent isolated modeling parts, each model view that is created should be clearly related to the EA model structure.	[20]
COMPARABILITY	Model Interoperability	The EA model should be exchangeable e.g. to other modeling tools.	[6, 14]
	Inter-Model Relations	Many organizations work with different modeling standards (e.g. BPMN for processes) they should be comparable to the EA model, even when they represent higher levels of detail.	[3, 15]

TABLE 2. Quality Attributes addressing the EA Model's Purpose

QUALITY PRINCIPLE	QUALITY ATTRIBUTE	ATTRIBUTE ASSESSMENT	METRIC TYPE	CASE APPL.
RELEVANCE	EA Purpose and Objectives	Is there a clear purpose for the EA defined?	Yes/No	Yes
		Does the EA team define objectives to fulfill the EA purpose	Yes/No	Yes
		Are purpose and related objectives regularly revisited?	Yes/No	No
	EA Stakeholders Concerns	Is there a thorough assessment of stakeholders involved?	Yes/No	Yes
		Are the concerns of the stakeholders determined?	Yes/No	Yes

TABLE 3. Quality Attributes addressing the whole EA Model

QUALITY PRINCIPLE	QUALITY ATTRIBUTE	ATTRIBUTE ASSESSMENT	METRIC TYPE	CASE APPL.
VALIDITY	Syntactical Properness	Calculate the ration according to [25]	quantitative	Q _s = 53,6% Q _a = 71,4% Q _c = 100%
		Validate towards Language Syntax	Tool Support	No
	Uniformity and Cohesion	Is the EA model based on a EA framework?	Yes/No	Yes
		Is a EA development method used?	Yes/No	Yes
		Does the EA model conform to a predefined model structure?	Yes/No	Yes
		Does the EA model hold any duplicates	Yes/No	No
	Reduction of Redundancy	Is the EA model repository free from duplicates?	Tool Support	No
Conduct Expert Interviews for EA model to identify implicit duplicates		qualitative	No	
RELEVANCE	EA Purpose and Objectives	Is there a clear purpose for the EA defined?	Yes/No	Yes
		Does the EA team define objectives to fulfill the EA purpose	Yes/No	Yes
		Are purpose and related objectives regularly revisited?	Yes/No	No
	EA Stakeholders Concerns	Is there a thorough assessment of stakeholders involved?	Yes/No	Yes
		Are the concerns of the stakeholders determined?	Yes/No	Yes
	Completeness vs. Conciseness	Are there modeling guidelines defined addressing what (not) to model?	Yes/No	No
ECONOMIC EFFICIENCY	Reusability	Are reoccurring phenomena reused in the model?	Yes/No	No
		Does the EA team agree on and use reference models?	Yes/No	No
	Flexibility	Does the EA model show alternative paths for organizational development?	Yes/No	No
		Is a process implemented how EA models support decisions?	Yes/No	Yes

QUALITY PRINCIPLE	QUALITY ATTRIBUTE	ATTRIBUTE ASSESSMENT	METRIC TYPE	CASE APPL.
ECONOMIC EFFICIENCY	Model Maintenance	Does the model conform to the most current version of the modeling language?	Yes/No	No
		Are outdated parts of the model extracted or deleted?	Yes/No	No
		Is there a maintenance plan defined?	Yes/No	Yes
CLARITY	Comprehensibility	Are the EA model elements clearly named?	Yes/No	Yes
	Communication	Is there a communication/reporting strategy of the EA model defined?	Yes/No	Yes
		Conduct Interviews with stakeholders of EA to reveal whether communication strategy realizes goals.	qualitative	No
SYSTEMATIC MODEL STRUCTURE	EA Model Structure	Does an EA model structure definition exist?	Yes/No	Yes
		Does the EA structure follow a top-down design?	Yes/No	Yes
COMPARABILITY	Model Interoperability	Is there an exchange format available for the modeling language in use?	Yes/No	Yes
	Inter-Model Relations	Does the EA model use information of other existing models in the organization?	Yes/No	Yes
		Are relations between parts of the EA models and other existing models made explicit?	Tool Support	Yes

TABLE 4. Quality Attributes addressing a specific EA Model View

QUALITY PRINCIPLE	QUALITY ATTRIBUTE	ATTRIBUTE ASSESSMENT	METRIC TYPE	CASE APPL.
VALIDITY	Semantical Propriety	Conduct Expert Interviews	qualitative	Yes
		Conduct Validation Workshops with relevant Stakeholders	qualitative	Yes
	Up-To-Dateness	Date of Last Change	quantitative	17.05.2017
		Frequency of Change	quantitative	several times a year
	Quality of Information Sources	Conduct Expert Interviews	qualitative	Yes
		Conduct Validation Workshops with relevant Stakeholders	qualitative	Yes
	Model Reliability	Conduct Expert Interviews	qualitative	Yes
		Conduct Validation Workshops with relevant Stakeholders	qualitative	No
Reduction of Redundancy	Is the EA model repository free from duplicates?	Tool Support	Yes	
	Conduct Expert Interviews for EA model to identify implicit duplicates	qualitative	Yes	
RELEVANCE	Usefulness	Are the goals of the EA model view clearly defined?	Yes/No	Yes
		Is there a "EA supply chain" present?	Yes/No	Yes
	Level of Detail	How many levels of detail are used by the model view?	quantitative	2
		Are the different levels of detail made transparent?	Yes/No	Yes
		Conduct Feedback Interviews with Stakeholders	qualitative	Yes
CLARITY	Comprehensibility	How many elements are documented/explained in ratio to all elements.	quantitative	100%
		Does the EA model vocabulary follow a clear taxonomy (e.g. of a certain domain)?	Yes/No	Yes
	Layout Design	Does each model view follow a clear layout?	Yes/No	Yes
		Are modeling convention developed for certain situations to ensure consistent layout?	Yes/No	Yes
		Do view templates exist that can be used for certain views?	Yes/No	Yes
	Complexity	Show number of model view elements.	quantitative	203
		Depending on the view's purpose, is the amount of elements reasonable?	Yes/No	Yes
	Documentation	Is the structure of the EA model made transparent?	Yes/No	Yes
		Is further material attached that explains ambiguous elements?	Yes/No	Yes
Is external material referenced?		Yes/No	No	
SYSTEMATIC MODEL STRUCTURE	Model View Specification	Is the intention of each model view explicitly documented?	Yes/No	No
	Model View Linkage	Does every model view relate to the model structure?	Yes/No	Yes
		Are interrelations among model views made transparent?	Yes/No	No

VI. CONCLUSION

Within our research we identified a research gap regarding the quality of EA models. Consequently, we formulated our research question what a quality framework for EA models should contain. To answer this question, we applied DSR according to Peffers et al. [9]. Based on a SLR combined by the approaches of Kitchenham [10] and Webster and Watson [11], we facilitated the framework of Becker et al. [15] and adapted it to our purpose.

We came up with a structure consisting of three parts. One part forms the basis on which the other both parts are established. In this basis the purpose, objectives, and stakeholder are determined. The other parts are utilized to either rate the quality of the whole model or the quality of a certain view.

In a next step, we performed two feedback loops within the DSR process. Therefore, we applied the framework twice at our partners EA model. The results of each application are exploited to enhance the framework.

Our main output is a framework for EA model quality assessment (EAQF), which closes a gap in existing research. While literature on EA quality discusses certain quality attributes, the EAQF puts existing attributes into context and provides a mean to assess EA model quality depending on its purpose and stakeholders' concerns. A use case reveals its significance to decision makers and identifies needs for improved EA development.

Apart from uncovering quality flaws, EAQF supports a better EA development. This is grounded by the fact that it can be facilitated as a setting to guide through the development. For instance, architects can choose several quality principles and pursue to raise the affected parts of the EA model to the needed quality level.

Our research still offers different improvement potentials. First, our conducted SLR covers limited number of search terms. For example, a further review should contain ancillary phrases like synonyms for the used terms. This could identify further quality attributes EAQF may include. Second, the external validity of EAQF needs further investigations. Therefore, supplementary DSR loops in other contexts

