# Searching All Approximate Covers and Their Distance using Finite Automata 

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#### Abstract

Cover is a type of a regularity of strings. A restricted approximate cover $w$ of string $T$ is a factor of $T$ such that every position of $T$ lies within some approximate occurrence of $w$ in $T$. In this paper, the problem of all restricted smallest distance approximate covers of a string is studied and a polynomial time and space algorithm for solving the problem is presented. It searches for all restricted approximate covers of a string with given limited approximation using Hamming distance and it computes the smallest distance for each found cover. The solution is based on a finite automata approach, that provides a straightforward way to design algorithms to many problems in stringology. Therefore it is shown that the set of problems solvable using finite automata includes the one studied in this paper.


## 1 Introduction

Searching regularities of strings is used in a wide area of applications like molecular biology and computerassisted music analysis. One of typical regularities is cover.

Finding exact covers is not sufficient in some applications, thus approximate covers have to be computed. In this paper, the Hamming distance is considered.

Exact covers were introduced in [1], an algorithm for computation of all exact covers in linear time was presented in [4]. An algorithm using finite automata approach to computation of all exact covers was introduced in [5].

The algorithm presented in [2] searches for one restricted smallest approximate cover (i.e. cover with the smallest distance), using dynamic programming. An algorithm using finite automata approach to computation all restricted approximate covers for Hamming, Levenshtein, and Damerau distance was introduced in [3].

This paper is organized as follows. In Section 2, some notations and definitions used in this paper are described. In Section 3, the algorithm for the problem is presented. In Section 4, the complexities of the algorithm are proven. In Section 5, experimental results are shown.

## 2 Preliminaries

An alphabet is a nonempty finite set of symbols, denoted by $A$. A string over an alphabet is a finite sequence of symbols of the alphabet. Empty string is an empty sequence of symbols, denoted by $\varepsilon$. An effective alphabet of a string $T$ is a set of symbols that really occur in $T$. Only effective alphabet is considered in this paper. A language is a set of strings. A set of all strings over alphabet $A$ is denoted by $A^{*}$. The length of a string $w$ is denoted by $|w|$, the $i-$ th symbol of $w$ is denoted by $w[i]$. An operation concatenation is defined in this way: $x, y \in A^{*}$, concatenation of $x$ and $y$ is $x y$, may be denoted by $x . y$. An operation superposition is defined in this way: $x=p u, y=u s$, superposition of $x$ and $y$ is pus. Suppose $u, w, x, T \in A^{*} . w$ is a prefix of $T$ if $T=w u, w$ is a suffix of $T$ if $T=u w$, and $w$ is a factor (also called a substring) of $T$ if $T=u w x$. A set of all prefixes of $T$ is denoted by $\operatorname{Pref}(T)$, a set of all suffixes of $T$ is denoted by $\operatorname{Suff}(T)$, and a set of all factors of $T$ is denoted by $\operatorname{Fact}(T)$.

A deterministic finite automaton (also called a deterministic finite state machine, denoted by DFA) is a quintuple $\left(Q, A, \delta, q_{0}, F\right)$, where $Q$ is a nonempty finite set of states, $A$ is an input alphabet, $\delta$ is a transition function, $\delta: Q \times A \mapsto Q, q_{0} \in Q$ is an initial state and $F \subseteq Q$ is a set of final states.

A nondeterministic finite automaton without $\varepsilon-$ transitions is a quintuple $\left(Q, A, \delta, q_{0}, F\right)$, where $Q$ is a nonempty finite set of states, $A$ is an input alphabet, $\delta$ is a transition function, where $\delta: Q \times A \mapsto \mathcal{P}(Q)$, $q_{0} \in Q$ is an initial state and $F \subseteq Q$ is a set of final states. It is denoted by NFA.

A state $q$ is a successor of state $p$ of a deterministic finite automaton $\left(Q, A, \delta, q_{0}, F\right)$ if $q=\delta(p, a)$ for some $a \in A$. A state $q_{N}$ is a successor of a state $p_{N}$ of a NFA $\left(Q_{N}, A, \delta_{N}, q_{0 N}, F_{N}\right)$ if $q \in \delta_{N}\left(p_{N}, a\right)$.

String $w=a_{1} a_{2} \ldots a_{|w|}$ is said to be accepted by a $D F A\left(Q, A, \delta, q_{0}, F\right)$ if there exists a sequence $\delta\left(q_{0}, a_{1}\right)=q_{1}, \delta\left(q_{1}, a_{2}\right)=q_{2}, \ldots, \delta\left(q_{|w|-1}, a_{|w|}\right) \in F$. String $w=a_{1} a_{2} \ldots a_{|w|}$ is said to be accepted by a $N F A\left(Q, A, \delta, q_{0}, F\right)$ if there exists a sequence $\delta\left(q_{0}, a_{1}\right)=Q_{1}, \delta\left(q_{1}, a_{2}\right)=Q_{2}, \ldots, \delta\left(q_{|w|-1}, a_{|w|}\right) \subseteq F$ for some $q_{1} \in Q_{1}, \ldots, q_{|w|-1} \in Q_{|w|-1}$. A language accepted by a finite automaton $M$ is denoted by $L(M)$.

A left language of a state $q$ of a nondeterministic finite automaton $\left(Q, A, \delta, q_{0}, F\right)$ is a set of strings $w=a_{1} a_{2} \ldots a_{|w|}$, where for each $w$ exists a sequence $\delta\left(q_{0}, a_{1}\right)=Q_{1}, \delta\left(q_{1}, a_{2}\right)=Q_{2}, \ldots, \delta\left(q_{|w|-1}, a_{|w|}\right)=$ $Q_{|w|}, q \in Q_{|w|}$ for some $q_{1} \in Q_{1}, \ldots, q_{|w|-1} \in Q_{|w|-1}$. A left language of a state $q$ of a DFA $\left(Q, A, \delta, q_{0}, F\right)$ is a set of strings $w=a_{1} a_{2} \ldots a_{|w|}$, where for each $w$ exists a sequence
$\delta\left(q_{0}, a_{1}\right)=q_{1}, \delta\left(q_{1}, a_{2}\right)=q_{2}, \ldots, \delta\left(q_{|w|-1}, a_{|w|}\right)=q$.
A maxfactor of a state $q$ of a DFA $\left(Q, A, \delta, q_{0}, F\right)$ is the longest string of left language of $q$, denoted by maxfactor $(q)$. A depth of a state $q$ of a DFA is the length of maxfactor $(q)$, denoted by depth $(q)$.

A DFA $M_{D}=\left(Q, A, \delta, q_{0}, F\right)$ is equivalent to a NFA $M_{N}=\left(Q_{N}, A, \delta_{N}, q_{0 N}, F_{N}\right)$ if $L\left(M_{N}\right)=L\left(M_{D}\right)$. Subset construction may be used:

1. Set $Q=\left\{\left\{q_{0}\right\}\right\}$ will be defined, state $q_{0}=\left\{q_{0 N}\right\}$ will be treated as unmarked.
2. If each state in $Q$ is marked then continue with step 4.
3. Unmarked state $q$ will be chosen from $Q$ and the following operations will be executed:
(a) $\delta(q, a)=\bigcup \delta_{N}\left(p_{N}, a\right)$ for $p_{N} \in q$ and for all $a \in A$,
(b) $Q=Q \cup \delta(q, a)$ for all $a \in A$,
(c) state $q \in Q$ will be marked,
(d) continue with step 2 .
4. $F=\left\{q: q \in Q, p_{N} \cap F_{N} \neq \emptyset, p_{N} \in q\right\}$.

Using subset construction of $M_{D}$ equivalent to $M_{N}$, every state $q_{D} \in Q$ corresponds to some subset of $Q_{N}$. This subset is called a $d$-subset, denoted by $d\left(q_{D}\right)$. Each element of the $d$-subset corresponds to some state of $Q_{N}$. Where no confusion arises, depth of a state corresponding to an element $r_{j} \in d\left(q_{D}\right)$ of $d^{-}$ subset $d\left(q_{D}\right)$ is simply denoted by $r_{j}$, as numeric representation of $r_{j}$ corresponds to the depth. In the algorithms below, $d$-subset is supposed to be implemented as a list, preserving order of its elements. An element of the $d$-subset is denoted by $r_{i}$, where the subscript $i$ means an index (order) of the element $r_{i}$ within the $d$-subset.

A distance is the minimum number of editing operations that are necessary to convert a string $x$ into a string $y$. The maximum allowed distance is denoted by $k$.

The Hamming distance between strings $x$ and $y$ is equal to the minimum number of editing operations replace that are necessary to convert $x$ into $y$. The Hamming distance function is denoted by $D_{H}$.

String $w \in A^{*}$ is an approximate prefix of a string $T \in A^{*}$ with the maximum Hamming distance $k$ if there exists string $p \in \operatorname{Pref}(T)$ such that $D_{H}(w, p) \leq$ $k$. String $w$ is an approximate suffix of the string $T$ if
there exists string $s \in \operatorname{Suff}(T)$ such that $D_{H}(w, s) \leq$ $k$.

A nondeterministic Hamming suffix automaton $M$ for a string $T$ and distance $k$ is such nondeterministic finite automaton without $\varepsilon$-transitions, that $L(M)=$ $\left\{w: D_{H}(w, s) \leq k, s \in \operatorname{Suff}(T)\right\}$. Such an automaton $M=\left(Q, A, \delta, q_{0}, F\right)$ may be constructed in this way:

1. Create a layer of $|T|+1$ states:
(a) each state $q_{i}^{0}$ corresponds to a position $i$ in $T$ (plus initial state $q_{0}$, thus $0<i \leq|T|$ ),
(b) for each state $q_{i}^{0}$ (but the last $q_{|T|}^{0}$ ) define transition $\delta\left(q_{i}^{0}, T[i]\right)=q_{i+1}^{0}$,
(c) define the last state $q_{|T|}^{0}$ final (note that until now such automaton accepts exactly $T$ ).
2. Similarly, create a layer for each "number of errors" $l, 1 \leq l \leq k$ (only exception: we do not need any state $\bar{q}_{i}^{l}$ for $l>i$.
3. For each state $q_{i}^{l}$ (but the last $q_{|T|}$ in each layer and but the last layer) and for each symbol $a \in$ $A, a \neq T[i]$ (not occurring in $T$ at position $i$ ), define transition $\delta\left(q_{i}^{l}, T[i]\right)=q_{i+1}^{l+1}$.
4. Create "long" transitions from $q_{0}: \delta\left(q_{0}, a\right)=\left\{q_{i}^{0}\right.$ : $a=T[i], a \leq i \leq|T|\} \cup\left\{q_{i}^{1}: a \neq T[i], 1 \leq i \leq|T|\right\}$.

For example of a transition diagram of a nondeterministic Hamming suffix automaton see Fig. 1.

A level of a state of a nondeterministic Hamming suffix automaton corresponds to the number of errors, a depth of a state of this automaton is equal to the corresponding position in $T$.

Definition 1 (Restricted approximate cover). Let $T$ and $w$ be strings. We say, that $w$ is a restricted approximate cover of $T$ with Hamming distance $k$ if $w$ is a factor of $T$ and there exist strings $s_{1}, s_{2}, \ldots, s_{r}$ (all some substrings of $T$ ) such that:

1. $D_{H}\left(w, s_{i}\right) \leq k$ for all $i$ where $1 \leq i \leq r$,
2. $T$ can be constructed by superpositions and concatenations of copies of the strings $s_{1}, s_{2}, \ldots, s_{r}$.

Note 1. An approximate cover is more general regularity than restricted approximate cover, because (unrestricted) approximate cover of $T$ needs not be a factor of $T$. In this paper, only restricted approximate cover is considered.

Definition 2 (Restricted smallest distance approximate cover). Let $T$ and $w$ be strings. We say, that $w$ is a restricted smallest distance approximate cover of $T$ with distance $k$ if $w$ is a restricted approximate cover of $T$ with the distance $k$ and there exists no $l<k$ such that $w$ is a restricted approximate cover of $T$ with the distance $l$.

Problem 1 (All restricted smallest distance approximate computed. When $q$ represents an approximate prefix, covers of a string). Given string $T$ over alphabet $A$, Hamming distance function $D_{H}$ and distance $k$, find all restricted approximate covers of $T$ and their smallest distances. A set of all restricted smallest distance approximate covers of string $T$ under Hamming distance $k$ is denoted by covers $_{H^{k}}(T)$.

As any approximate cover of a string $T$ under Hamming distance is an approximate prefix and an approximate suffix of $T$ (proven in [3]), an automaton accepting only such strings can be used.

Definition 3 (Approximate cover candidate automaton). An approximate cover candidate automaton $\left(Q, A, \delta, q_{0}, F\right)$ for string $T \in A^{*}$, Hamming distance function $D_{H}$ and the maximum distance $k$ accepts set $W=\left\{w_{1}, w_{2}, \ldots, w_{l}\right\}$ of factors of $T$, where for each $w_{i} \in W$ holds:

1. there exists $p \in \operatorname{Pref}(T)$ such that $D_{H}\left(p, w_{i}\right) \leq k$, and
2. there exists $s \in \operatorname{Suff}(T)$ such that $D_{H}\left(s, w_{i}\right) \leq k$.

In [3], a construction of an automaton accepting intersection of approximate prefixes and approximate suffixes is used for construction of a deterministic approximate cover candidate automaton. Although this is a straightforward idea, specialized method (more effective) is presented for Hamming distance in the following section.

## 3 Problem solution

The principle of the solution is following: first, we perform a subset construction of a deterministic cover candidate automaton from a nondeterministic Hamming suffix automaton for string $T$ and $k$, as every $d(q)$ represents a set of positions of $w=\operatorname{maxfactor}(q)$ within $T$. If we treat with $d(q)$ as with a sorted list (ordered by depths of its elements), each pair of subsequent elements represents positions of subsequent occurrences of $w$ within $T$. When for such positions $i, j, i<j$ holds $j-i>|w|$, we know that $w$ cannot be a cover of $T$. The distance of $w$ is the minimum $l$ such that it is possible to remove all elements $r \in d(q)$ having level $(r)>l$ and the previous condition holds.

In fact, it is not necessary to save complete deterministic automaton. Unlike in [3], we do not make construction of the deterministic cover candidate automaton and subsequent computation of covering. A depth-first search algorithm is used to perform subset construction and computation of covering and of the distance of each cover: in Algorithm 2, for each state and symbol, a successor $q$ is generated, it is determined whether it represents a cover and the distance is
its successors are recursively generated and processed. Note that the set of final states of the deterministic approximate cover candidate automaton is not needed (it would contain all states having $d$-subsets containing element corresponding to some final state of the nondeterministic Hamming suffix automaton).

Distance $l$ of each cover $w=$ maxfactor $(q)$ may vary between 0 and $k$. Moreover, it cannot be less than level of the first or the last element of $d(q)$, because each cover must be an approximate prefix and suffix. Of course, it cannot be more than the maximum level of elements of $d(q)$. The Algorithm 1 removes all the elements having the maximum level but the first and the last element of $d(q)$, and tries whether $w$ covers $T$ without those removed positions.

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Algorithm 1 Smallest distance of a cover of \(T\).
Input: \(d\)-subset \(d(q)\) representing a cover \(w\) of \(T\).
Output: The smallest distance \(l\) of \(w\).
    \(l_{\text {min }} \leftarrow \max \left\{\operatorname{level}\left(r_{1}\right)\right.\), level \(\left.\left(r_{|d(q)|}\right)\right\}\)
    \(l_{\text {max }} \leftarrow \max _{r \in d(q)}\{\operatorname{level}(r)\}\)
    \(l \leftarrow l_{\text {max }}\)
    repeat
        for all \(r \in d(q) \backslash\left\{r_{1}, r_{|d(q)|}\right\}: \operatorname{level}(r)=l\) do
            remove \(r\) from \(d(q)\)
        end for
        \(l \leftarrow l-1\)
    until \(l \geq l_{\text {min }}\) and for all \(i=2,3, \ldots,|d(q)|: r_{i}-r_{i-1} \leq\)
    depth \((q)\)
    \(0: l \leftarrow l+1\).
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Example 1. Let us have a string $T=a a b c c c c b$ over alphabet $A=\{a, b, c\}$ and let us compute a set of all restricted smallest distance approximate covers of $T$ under Hamming distance $k=2$ using Algorithm 3.

Because of the distance 2, we are interested in covers of length at least 3 or having distance less than 2 . We construct a nondeterministic Hamming suffix automaton $M_{S}$ (see Fig. 1), then an approximate cover candidate automaton $M$ is analysed (see Fig. 2).

Looking at the $d$-subset $\left\{3,4^{\prime \prime}, 8^{\prime \prime}\right\}$, it represents an approximate prefix and suffix aab of length 3 , but for its positions holds $8-4 \not \leq 3$, thus the factor $a a b$ is not an approximate cover of $T$ with Hamming distance 2. Looking at the other $d$-subset $\left\{3^{\prime \prime}, 5^{\prime \prime}, 6^{\prime}, 7^{\prime}, 8\right\}$, it represents factor $c c b$, that covers $T$ with Hamming distance 2. It is checked whether it covers $T$ with distance 1 (Alg. 1). As the first element of the $d$-subset has level equal to $2, l_{\min }$ is equal to 2 . The resulting set of the covers is $^{\text {covers }_{a a b c c c c b ~}}{ }^{H}(\mathcal{Z})=\{(c c b, 2),(a a b c c c c b, 0)\}$.


Fig. 2. Transition diagram of complete deterministic approximate cover candidate automaton for string $T=a a b c c c c b$ and the maximum Hamming distance 2

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Algorithm 2 Process state of a deterministic approx-
imate cover candidate automaton \(M=\left(Q, A, \delta, q_{0}, F\right)\)
constructed for string \(T\) and the maximum distance
\(k\) from a nondeterministic Hamming suffix automaton
\(M_{S}=\left(Q_{S}, A, \delta_{S}, q_{0 S}, F_{S}\right)\).
Input: State \(q_{i}\) having depth \(i\) and the \(d\)-subset \(d\left(q_{i}\right)\).
Output: The temporary set of restricted smallest distance
approximate covers \(c\).
    \(c \leftarrow \emptyset\)
    for all \(a \in A\) do
        create new state \(q\), define \(\operatorname{depth}(q)=\operatorname{depth}\left(q_{i}\right)+1\)
        for all \(r_{s}\) in \(d\left(q_{i}\right)\) (in order as stored in \(d\left(q_{i}\right)\) ) do
        append all \(r_{i} \in \delta_{S}\left(r_{s}, a\right)\) to \(d(q)\) in ascending or-
        der by depth \(\left(r_{i}\right)\)
    end for
    if for the first \(r_{1} \in d(q)\) holds \(r_{1} \leq \operatorname{depth}\left(q_{i}\right)\) then
        if exists \(r \in d(q)\) where level \((r)=0\) within \(M_{S}\)
        then
            define \(w=\operatorname{maxfactor}(q)=\operatorname{maxfactor}\left(q_{i}\right) \cdot a\)
            if \(r_{|d(q)|} \in F_{S}\) then
                if for all \(i=2,3, \ldots,|d(q)|: \operatorname{depth}\left(r_{i}\right)-\)
                \(\operatorname{depth}\left(r_{i-1}\right) \leq \operatorname{depth}(q)\) then
                    define \(l\) the smallest distance of \(w\) (Alg. 1)
                        if \(|w|>k\) or \(l<|w|\) then
                            \(c \leftarrow c \cup(w, l)\)
                    end if
                end if
            end if
            process state \(q\) (this algorithm), \(c^{\prime}\) is result
            \(c \leftarrow c \cup c^{\prime}\)
        end if
    end if
end for
```



Fig. 1. Transition diagram of nondeterministic Hamming suffix automaton for string $a a b c c c c b$ and the distance 2

Algorithm 3 Computation of a set of all restricted smallest distance approximate covers for string $T$ and the Hamming distance $k$.
Input: String $T=a_{1} a_{2} \ldots a_{n}$, the Hamming distance $k$.
Output: Set of all restricted smallest distance approximate covers covers $_{H^{k}}(T)$ of string $T$ using the Hamming distance function $D_{H}$ and the distance $k$.
covers $_{H^{k}}(T) \leftarrow\{(T, 0)\}$.
Construct nondeterministic Hamming suffix automaton $M_{S}=\left(Q_{S}, A, \delta_{S}, q_{0 S}, F_{S}\right)$ for $T$ and $k$.
3: Create state $q_{0}$ of the deterministic approximate cover candidate automaton $M(T)=\left(Q, A, \delta, q_{0}, F\right)$.
Define maxfactor $\left(q_{0}\right)=\varepsilon$.
Process state $q_{0}$ using Algorithm 2.
covers $_{H^{k}}(T)$ is the resulting set from the previous step.

## 4 Complexities

Lemma 1. The nondeterministic Hamming suffix automaton $M_{S}=\left(Q, A, \delta, q_{0}, F\right)$ for string $T$ and the distance $k$ contains $(|T|+1) \cdot(k+1)-\frac{k^{2}+k}{2}$ states and $|A| \cdot\left(|T| \cdot(k+1)-1+\frac{k-k^{2}}{2}\right)+|T|-k+1$ transitions.
Proof. The automaton consists of layers of states $q^{(i)}$ for each level $i$. The layer of states $q^{0}$ contains $|T|+$ 1 states. Each layer of states $q^{(i)}$ contains one state less in comparison with layer of states $q^{(i-1)}$, thus it contains $|T|-i+1$ and layer of states $q^{(k)}$ contains $|T|-k+1$ states.

The automaton contains $|A|$ transitions from each state, with some exceptions. There are $k+1$ final states having no successor. In the layer of states $q^{(k)}$, each state has only one successor. From the initial state, there are $|T|$ transitions defined to the states $q^{(0)}$ having level $\left(q^{(0)}\right)=0$ and $|T| \cdot(|A|-1)$ transitions to the states $q^{(1)}$ having $\operatorname{level}\left(q^{(1)}\right)=1$. Thus in $M_{S}$ there are $|Q| \cdot|A|+|T| \cdot|A|-(k+1) \cdot|A|-(|T|-k+1) \cdot(|A|-1)=$ $|A| \cdot(|Q|-2)+|T|-k+1$ transitions.

Note 2. As restricted approximate covers of string $T$ are exact factors of $T$, it is meaningful to consider effective alphabet $A$ only, thus $|A| \leq|T|$ always holds.

It is also meaningless to consider large $k$, because every factor of $T$ having length less or equal to $k$ is always approximate cover of $T$. Thus $k \leq|T|$ always holds.

Usually, $k \ll|T|$ and $|A| \ll|T|$ (e.g. in DNA analysis, $A=\{a, c, g, t\})$. Therefore $k$ and $|A|$ may be considered as small constants independent of $|T|$.

Lemma 2. The deterministic approximate cover candidate automaton $M$ for string $T$ and the Hamming distance contains at most $\frac{|T|^{2}+|T|}{2}+1$ states.

Proof. Each $d$-subset $d(q)$ of $M$ contains at least one $r$ such that level $(r)=0$, thus maxfactor $(q) \in \operatorname{Fact}(T)$. The number of possible factors of length $\operatorname{depth}(q)$ is at most $|T|-\operatorname{depth}(q)+1$, thus the maximum number of states of $M$ having equal depth is also $|T|-$ $\operatorname{depth}(q)+1$. The automaton $M$ also contains an initial state. Therefore, the number of states of $M$ is at most $\frac{(|T|-1+1)+(|T|-|T|+1)}{2} \cdot|T|+1$.

Lemma 3. During the construction of the deterministic cover candidate automaton $M$ for string $T$, Algorithms 2, 3 need to hold at most $|T|+2$ states at a time.

Proof. Algorithm 2 works as a depth-first search algorithm. For each state and symbol it generates at most one state - possible successor. Thus it holds at most $|T|+1$ states of $M(|T|$ states having $d$-subsets representing exact prefixes of $T$ plus initial state) and a state generated for a final state, having empty $d-$ subset.

Lemma 4. During the construction of the deterministic cover candidate automaton $M$ for string T, Algorithms 2, 3 need to hold at most $\frac{|T|^{2}+|T|}{2}+1$ elements of d-subsets at a time.

Proof. Alg. 2 needs at most $|T|+2$ states in a memory at a time (Lemma 3). The deterministic cover candidate automaton $M=\left(Q, A, \delta, q_{0}, F\right)$ is constructed by subset construction from a nondeterministic Hamming suffix automaton $M_{S}=\left(Q_{S}, A, \delta_{S}, q_{0 S}, F_{S}\right)$. In $M_{S}$, each state but $q_{0 S}$ has at most one successor for each symbol, $q_{0 S}$ has $|T|$ successors for each symbol. For each state $p_{S}$ and its successor $q_{S}$ in $M_{S}$ holds: $\operatorname{depth}\left(q_{S}\right)>\operatorname{depth}\left(p_{S}\right)$. The longest possible $d$-subset $d(p)$ contains $r_{|T|}$ having depth $\left(r_{|T|}\right)=|T|$, and $r_{1}$ having depth $\left(r_{1}\right)=1$. As $\left|\delta_{S}\left(r_{1}, a\right)\right| \leq 1$ and $\delta_{S}\left(r_{|T|}, a\right)=\emptyset$ for every $a \in A$, for state $p$ and its successor $q$ in $M$ holds: $|d(q)| \leq|d(p)|$ for $p \neq q_{0}$ and $|d(q)| \leq|T|$ for $p=q_{0}$.

Theorem 1. Space complexity of Alg. 3 is $\mathcal{O}\left(|T|^{2}\right)$.
Proof. It clearly holds that for construction of the nondeterministic Hamming suffix automaton $M_{S}=$
$\left(Q_{S}, A, \delta_{S}, q_{0 S}, F_{S}\right)$, there is no need for any additional data structures. For the purpose of the construction of the deterministic cover candidate automaton $M$, only the set of states and transitions from $q_{0 S}$ need to be preserved, because the rest may be computed later in $\mathcal{O}(1)$ time and space using knowledge of a depth and a level of a state, $k$, and $T$. Thus the space complexity of this construction is $\mathcal{O}((k+|A|) \cdot|T|)$.

During the computation of the smallest distance (Algorithm 1), only $\mathcal{O}(1)$ additional data is needed. During the processing of states of $M$ (Algorithm 2), the needed space is limited by the number of elements of all $d$-subsets (Lemma 4) preserved in a memory and by the number of all approximate covers (the result, limited by the number of all factors of $T$ - at most $\left.\mathcal{O}\left(|T|^{2}\right)\right)$.
Lemma 5. Using Algorithms 2, and 3 for construction of a deterministic cover candidate automaton $M=$ $\left(Q, A, \delta, q_{0}, F\right)$ from a nondeterministic Hamming suffix automaton $M_{S}=\left(Q_{S}, A, \delta_{S}, q_{0 S}, F_{S}\right)$, all d-subsets are sorted in ascending order by depths within $M_{S}$.
Proof. Having $p, q \in Q \backslash\left\{q_{0}\right\}$ such that $q$ is a successor of $p$, suppose that $d(p)$ is sorted in order by depths within $M_{S}$. It holds that for any $p_{S}, q_{S} \in Q_{S}$ such that $q_{S}$ is a successor of $p_{S}, \operatorname{depth}\left(q_{S}\right)>\operatorname{depth}\left(p_{S}\right)$. Therefore $d(q)$ constructed from already sorted $d(p)$ is also sorted.

For $p=q_{0}$, it is supposed that $\delta_{S}\left(q_{0 S}, a\right)$ is constructed as sorted in order by depths within $M_{S}$.

Lemma 6. Time complexity of Algorithm 1 is $\mathcal{O}(k$. $|T|)$ for each state.
Proof. Algorithm 1 may remove some elements of a $d$-subset in each iteration, thus the iteration may take $\mathcal{O}(|T|)$ time. The number of iterations may be at most $k$.

Lemma 7. Time complexity of Algorithm 2 (from the initial state) is $\mathcal{O}\left((k+|A|) \cdot|T|^{3}\right)$.
Proof. Algorithm 2 constructs for all states $q$ and all $a \in A$ the $d$-subsets of all possible successors of $q$. The number of states is $\mathcal{O}\left(|T|^{2}\right)$ (Lemma 2) and the number of elements of each $d$-subset is $\mathcal{O}(|T|)$. For each state, the computation of covering is performed (it takes $\mathcal{O}(|T|)$ ), and for each cover (their number is $\left.\mathcal{O}\left(T^{2}\right)\right)$, the computation of the smallest distance is performed (it takes $\mathcal{O}(k \cdot|T|)$ for each cover - Lemma 6).

Theorem 2. Time complexity of Alg. 3 is $\mathcal{O}((k+|A|)$. $\left.|T|^{3}\right)$.
Proof. It clearly holds that construction of the nondeterministic Hamming suffix automaton takes $\mathcal{O}((k+$ $|A|) \cdot|T|)$. Construction of the deterministic cover candidate automaton takes $\mathcal{O}\left((k+|A|) \cdot|T|^{3}\right)$ (Lemma 7).

## 5 Experimental results

The algorithm was implemented in C++ using STL, the program was compiled using the GNU $\mathrm{C}++$ compiler with O3 optimizations level. The dataset used to test the algorithm is the nucleotide sequence of Saccharomyces cerevisiae chromosome IV ${ }^{1}$. The string $T$ consists of the first $|T|$ characters of the chromosome.

The first set of tests was run on a AMD Athlon $643200+(2200 \mathrm{MHz})$ system, with 2.5 GB of RAM, under Fedora Linux operating system (see Figs. 3, 4).


Fig. 3. Time consumption with respect to the text size (solid line for $k=11$, dotted one for $k=31$ )


Fig. 4. Time consumption with respect to the distance (solid line for $|T|=1162$, dotted one for $|T|=1550$ )

The second set of tests was run on a AMD Athlon ( 1400 MHz ) system, with 1.2 GB of RAM, under Gentoo Linux operating system (see Figs. 5, 6).

Note 3. In comparison with experimental results presented in [2], the algorithm presented in this paper runs a bit faster for the same data, even on a slightly slower computer ( 1.3 seconds in [2] for text length 100

[^0]

Fig. 5. Time consumption with respect to the text size (solid line for $k=101$, dotted one for $k=201$ )


Fig. 6. Time consumption with respect to the maximum distance (solid line for $|T|=114$, dotted one for $|T|=153$ )
vs. maximum 1.0 second for text length 114 - see Fig. $6)$.

## 6 Conclusion and future work

In this paper, we have shown that an algorithm design based on a determinisation of a suffix automaton is appropriate for all restricted smallest distance approximate covers of a string problem for Hamming distance. The presented algorithm is straightforward, easy to understand and to implement and its theoretical and experimental time requirements are comparable to the existing approach ([2]).

The algorithm may be extended to work with other distance functions, possibly using the idea presented in [3]. Theoretical and experimental analysis similar to one presented here may be accomplished. The algorithm may be also extended to use parallelism.

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[^0]:    ${ }^{1}$ The Saccharomyces cerevisiae chromosome IV dataset could be downloaded from http://www.genome.jp/.

